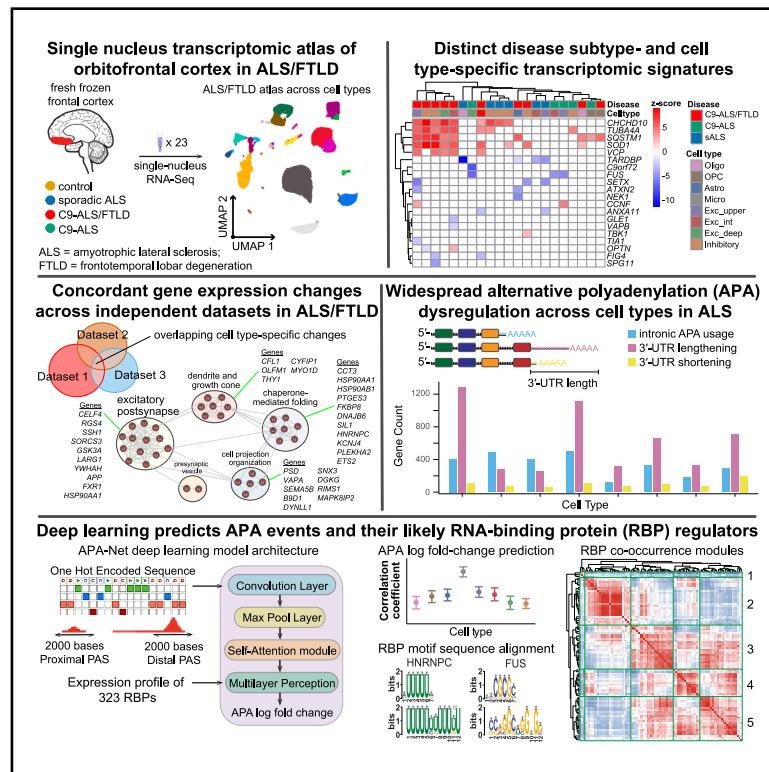


# Single-nucleus transcriptome atlas of orbitofrontal cortex in ALS with a deep learning-based decoding of alternative polyadenylation mechanisms

## Graphical abstract



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## In brief

McKeever et al. use single-nucleus RNA sequencing to map molecular changes in the orbitofrontal cortex across ALS with and without FTLD. They identify cell-type-specific transcriptional alterations and widespread dysregulation of alternative polyadenylation and apply a deep learning model to uncover the regulatory landscape of APA.

## Highlights

- snRNA-seq atlas of orbitofrontal cortex in ALS identified cell-type-specific changes
- Comparative analyses with existing ALS datasets uncover shared molecular signatures
- Alternative polyadenylation is dysregulated across neuronal and glial cell types in ALS
- Deep learning model, APA-Net, decodes APA regulation from snRNA-seq data

## Article

# Single-nucleus transcriptome atlas of orbitofrontal cortex in ALS with a deep learning-based decoding of alternative polyadenylation mechanisms

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## SUMMARY

Amyotrophic lateral sclerosis (ALS) and frontotemporal lobar degeneration (FTLD) are fatal neurodegenerative diseases sharing clinical and pathological features. Both involve complex neuron-glia interactions, but cell-type-specific alterations remain poorly defined. We performed single-nucleus RNA sequencing of the frontal cortex from C9orf72-related ALS (with and without FTLD) and sporadic ALS (sALS). Neurons showed prominent changes in mitochondrial function, protein homeostasis, and chromatin remodeling. Comparison with independent datasets from other cortical regions revealed consistent pathway alterations, including up-regulation of *STMN2* and *NEFL* across brain regions and subtypes. We further examined dysregulation of alternative polyadenylation (APA), an understudied post-transcriptional mechanism, uncovering cell-type-specific APA patterns. To investigate its regulation, we developed the alternative polyadenylation network (APA-Net), a multi-modal deep learning model integrating transcript sequences and RNA-binding protein (RBP) expression profiles to predict APA. This atlas advances our understanding of ALS/FTLD molecular pathology and provides a valuable resource for future mechanistic studies.

## INTRODUCTION

The advent of single-cell sequencing technology facilitates a deeper interrogation of the mechanisms that underlie disease, especially in complex tissues such as the brain. Amyotrophic lateral sclerosis (ALS) is an adult-onset neurodegenerative disease caused by motor neuron degeneration in the brain, brain stem, and spinal cord. Extending beyond motor pathways, over 50% of ALS patients also exhibit progressive impairments in cognition, behavior, or language caused by frontotemporal lobar degeneration (FTLD), with 10%–15% of patients fulfilling the diagnostic criteria for frontotemporal dementia.<sup>1</sup> Neuroimaging studies further support early frontal cortical involvement in ALS, highlighting structural and functional disruptions specif-

ically in regions such as the prefrontal and orbitofrontal cortices,<sup>2–4</sup> areas critical for higher-order cognitive processing and behavioral regulation. Although the vast majority of ALS cases occur sporadically (sporadic ALS [sALS]), without clear genetic causes, familial studies have identified mutations in several genes, including *SOD1*, *TARDBP* (TDP-43), and *FUS*, as important contributors to disease pathogenesis.<sup>5</sup> Among these, a hexanucleotide (G4C2) repeat expansion within intron 1 of *C9orf72* (C9) represents the most common genetic cause shared by ALS and FTLD,<sup>6,7</sup> highlighting a clear molecular continuum between motor and cortical dysfunction in ALS. This mutation triggers multiple pathological mechanisms, including dipeptide repeat protein toxicity, RNA-binding protein (RBP) sequestration by repeat-containing RNA, and reduced *C9orf72*

expression.<sup>6–8</sup> Additionally, C9orf72 itself plays diverse roles in neuronal health through modulation of small guanine triphosphatases (GTPases) and regulation of essential cellular pathways, such as autophagy, lysosomal processing, immune response, synaptic plasticity, and nucleocytoplasmic transport.<sup>9–12</sup>

The molecular mechanisms underlying cortical dysfunction associated with FTLD in ALS remain elusive but have been linked to changes in expression and/or subcellular localizations of various RBPs. Nuclear depletion and cytoplasmic aggregation of TDP-43 represents the hallmark pathology in motor neurons of ALS and cortical neurons of FTLD.<sup>13,14</sup> Other RBPs implicated in ALS/FTLD include FUS,<sup>15</sup> SFPQ,<sup>16,17</sup> TIA1,<sup>18</sup> and heterogeneous nuclear ribonucleoproteins (hnRNPs).<sup>19,20</sup> RBPs regulate diverse functions across cell types, including transcription,<sup>21–24</sup> auto-regulation,<sup>25,26</sup> alternative splicing (AS),<sup>22</sup> and alternative polyadenylation (APA).<sup>26–30</sup> Disruption of these post-transcriptional regulatory processes has been documented in ALS,<sup>22,31</sup> including the observation of brain region-specific APA patterns in postmortem bulk RNA sequencing (RNA-seq) from ALS patients<sup>32</sup> and in induced pluripotent stem cell-derived motor neurons from patients harboring *TARDBP* and *VCP* mutations.<sup>33</sup> APA is a critical post-transcriptional mechanism that contributes to transcriptomic diversity by generating mRNA isoforms with distinct 3' untranslated region (3' UTR) regions or coding sequences. Beyond its role in diversifying mRNA, APA plays essential functions during development, differentiation, and the cellular response to environmental cues.<sup>34,35</sup> Aberrant APA has been reported in several neurodegenerative diseases, including ALS, Parkinson's disease, and Alzheimer's disease.<sup>36–38</sup> Such alterations in 3' end processing can influence mRNA stability, localization, and translation, potentially contributing to disease pathogenesis. Despite these insights, the cell-type-specific regulation and dysregulation of APA in ALS remain poorly characterized. Recent advances in methods for APA quantification in single-cell RNA-seq datasets<sup>39–43</sup> now enable systematic investigation of APA at cell type resolution, providing an opportunity to explore this understudied regulatory dimension in ALS.

Here, we generated a single-nucleus RNA-seq (snRNA-seq) atlas comprising 103,076 nuclei to uncover transcriptomic changes in postmortem orbitofrontal cortices from ALS cases caused by mutations in C9orf72 (C9-ALS) and sALS cases. We also analyzed C9-ALS cases with FTLD, identified by TDP-43 pathology in the orbitofrontal cortex (C9-ALS/FTLD). Our snRNA-seq analysis of the orbitofrontal cortex revealed distinct molecular signatures in C9-ALS/FTLD, C9-ALS, and sALS cases. Each disease subtype showed unique patterns of pathway dysregulation across cell types while also sharing common alterations in processes such as protein homeostasis and mitochondrial dysfunction. By integrating our findings with published datasets from the dorsolateral prefrontal and primary motor cortex,<sup>44–47</sup> we discovered concordant cell-type-specific transcriptional changes across brain regions, suggesting that these represent fundamental disease mechanisms rather than region-specific responses. These molecular signatures were particularly striking in neuronal populations, where we observed consistent patterns of gene expression changes across datasets.

We also investigated cell-type-specific dysregulation of APA in ALS, identifying thousands of significant APA events in 3'

UTRs and upstream internal regions in C9-ALS and sALS compared to controls. To further understand this APA dysregulation, we developed an interpretable deep learning method called alternative polyadenylation network (APA-Net). APA-Net enabled us to identify a range of *cis* and *trans* regulators correlated with the observed APA events in the disease. By interpreting APA-Net, we reveal potential RBP interactions in the dysregulation of APA in ALS, shedding light on the regulatory programs likely to induce APA in ALS. These findings enhance our understanding of cell-type-specific transcriptomic changes occurring in the frontal cortex in ALS and provide mechanistic insights into the potential coordinated interaction of RBPs in regulating APA in disease.

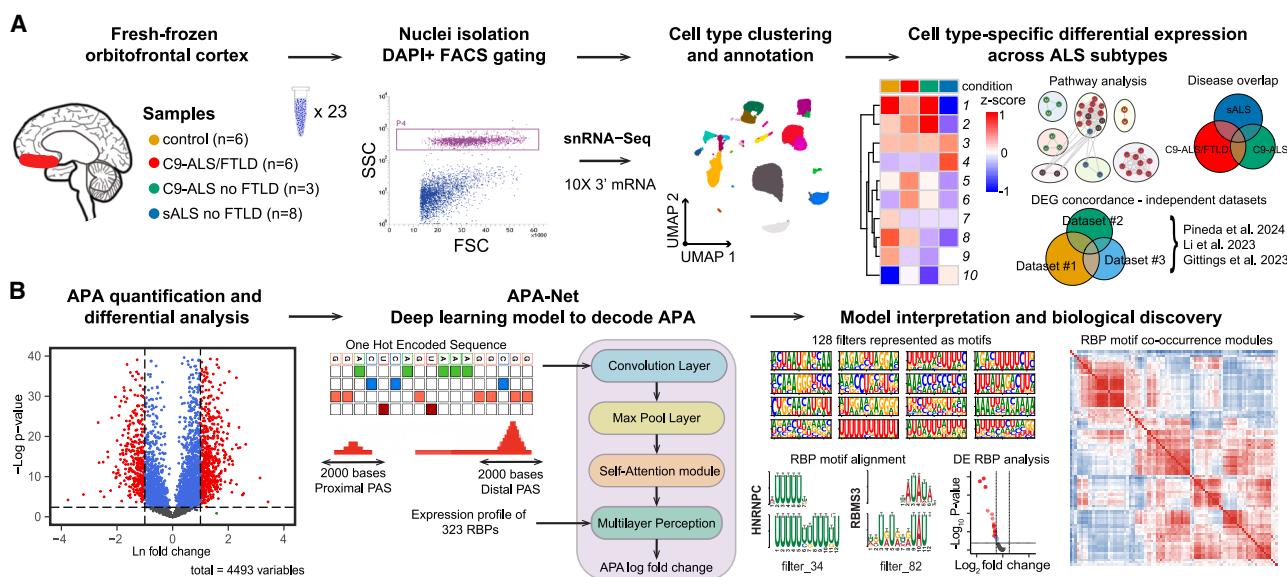
## RESULTS

### Orbitofrontal cortex cell types in ALS patients with and without FTLD show converging and diverging transcriptomic changes

We leveraged snRNA-seq to characterize both gene expression and APA modalities in ALS (Figures 1A and 1B). Our single-cell atlas comprised 103,076 nuclei derived from 23 snRNA-seq samples from the orbitofrontal cortex (Brodmann area 11) of C9-ALS/FTLD ( $n = 6$  individuals), C9-ALS without FTLD ( $n = 3$ ), and sALS without FTLD ( $n = 8$ ) cases compared to controls ( $n = 6$ ) (Figure S1A). Individual- and group-level demographic information is summarized in Tables S1 and S2, respectively. Standard quality control steps were applied to the snRNA-seq data.<sup>48</sup> We used an established snRNA-seq data integration method,<sup>49</sup> ensuring consistent alignment of cell clusters across technologies for sex, condition, and samples (Figures S1B–S1D).

To delineate cell types, we applied an established clustering algorithm<sup>50</sup> and cell annotation approach<sup>51,52</sup> (Figures S1E and S1F; STAR Methods). We uncovered 23 orbitofrontal cortex cell subtypes, including oligodendrocytes, oligodendrocyte precursor cells (OPCs), astrocytes, endothelial cells, vascular leptomeningeal cells (VLMCs), microglia and perivascular macrophages (PVMs), 10 excitatory neuron subtypes, and seven inhibitory neuron subtypes (Figure S1G). A catalog of specific gene expression markers for each cell type is presented in Figure S1H. To facilitate downstream analysis, we grouped cell subtypes into nine major cell types: oligodendrocytes, OPCs, astrocytes, endothelial VLMCs, microglia-PVMs, upper-layer excitatory neurons, intermediate-layer excitatory neurons, deep-layer excitatory neurons, and inhibitory neurons, based on canonical markers (Figures S2A and S2B). The nuclei from endothelial VLMCs were excluded from all downstream analyses due to poor yield across samples. All other cell types were detected across samples, showing similar distributions of cell types when categorized by disease subtype (Figures S2C–S2E).

To assess whether transcriptional differences between controls and ALS cases reflect true biological separation rather than random variation, we performed principal-component analysis on pseudobulk profiles across all cell types (Figure S3A). We then calculated pairwise Euclidean distances between samples, comparing within-group (i.e., control vs. control, ALS vs. ALS) and between-group (control vs. ALS) variation. Bootstrapped confidence intervals reveal a trend toward



**Figure 1. Single-nucleus atlas for the study of transcriptomic changes in the ALS frontal cortex**

(A) Workflow for the generation of a single-nucleus atlas of the frontal cortex from controls ( $n = 6$ ), C9-ALS/FTLD ( $n = 6$ ), C9-ALS without FTLD ( $n = 3$ ), and sALS without FTLD ( $n = 8$ ). All  $n$  values represent distinct biological replicates (individuals). Nuclei were isolated using fluorescence-activated cell sorting, and nuclei were labeled and gated with DAPI. Analyses include cell type clustering, machine learning and reference-based annotation, differential expression analysis, pathway analysis, overlap across ALS subtypes, and concordance with independent single-nucleus datasets. DEG = differentially expressed genes.

(B) APA analysis across ALS subtypes and cell types. The APAlog software facilitated quantification and differential expression analysis of APA events from assigned reads. APA grammar was decoded using a multi-input deep learning model called APA-Net, which consists of a CNN with an MAT mechanism. APA-Net uses the sequence information around polyadenylation site (PAS) and RBP expression profiles to predict APA LFC.

greater between-group distances across multiple cell types (Figure S3B). To test whether this separation exceeded chance expectations, we conducted permutation testing. This confirmed statistically significant group separation in all excitatory neuron subtypes, astrocytes, and microglia ( $p < 0.05$ , permutation test; Figure S3B). Together, these results suggest that the transcriptional differences distinguishing ALS from control samples are unlikely to be driven by random noise.

We next explored these differences further by performing a differential expression analysis,<sup>53</sup> comparing C9-ALS/FTLD, C9-ALS, and sALS cases with controls (STAR Methods). This analysis uncovered widespread cell-type-specific transcriptomic changes across all disease subtypes (false discovery rate (FDR)  $< 0.01$ ;  $|\log_2\text{fold change}| > 0.5$ ; Figures 2A–2G and S4A–S4R; Table S3). The majority of gene expression changes are found in excitatory and inhibitory neurons, with the most significant variances observed in C9-ALS/FTLD compared to C9-ALS and sALS (Figure 2A). Venn diagrams show the convergent and divergent changes among the three disease subtypes compared with controls for cortical-layer excitatory neurons, inhibitory neurons, and glial cells: oligodendrocytes, OPCs, astrocytes, and microglia (Figures 2H–2O).

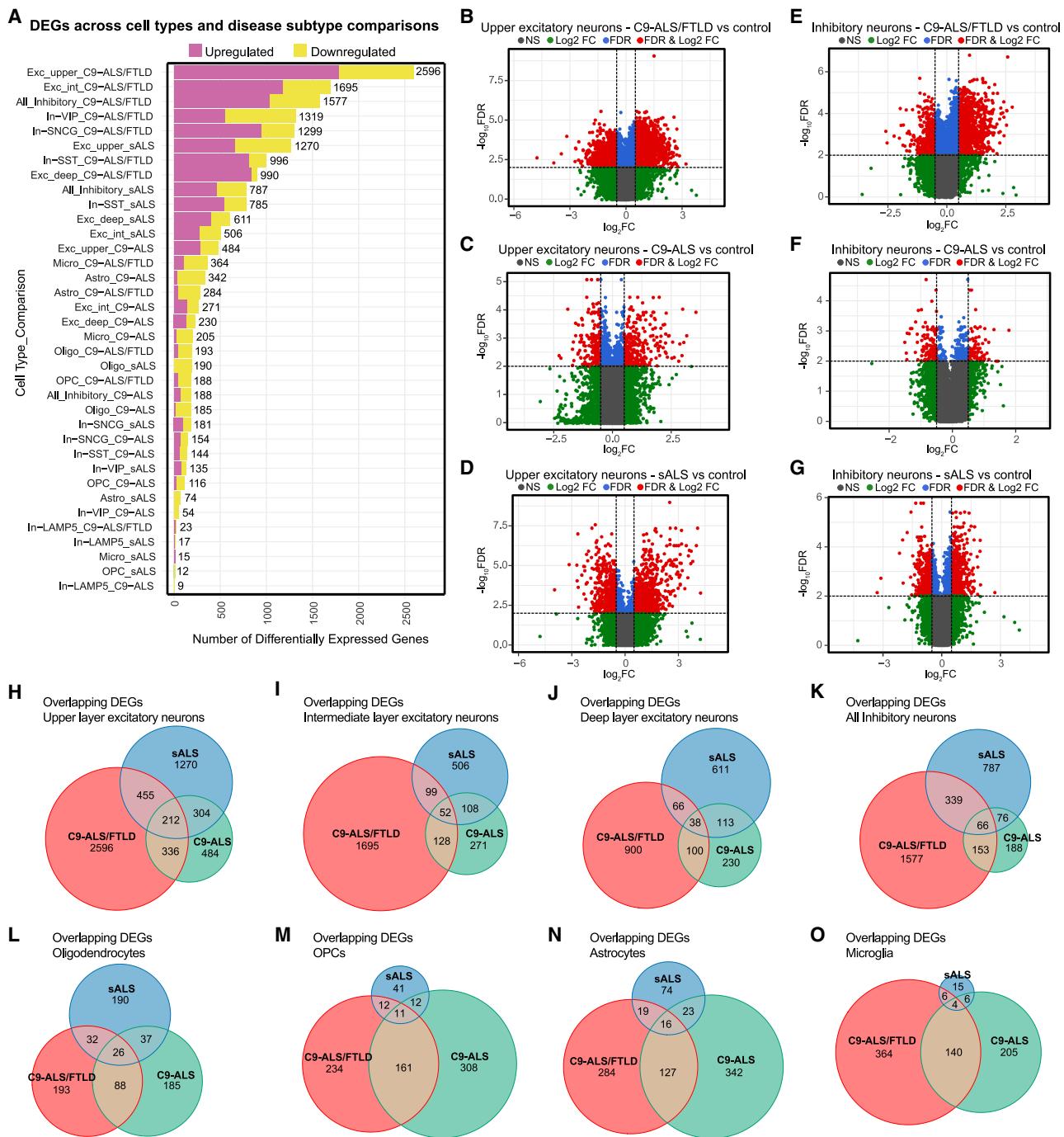
#### Neurons in ALS are perturbed across all subtypes and cortical layers

To gain insights into the biological underpinnings of the identified differential gene expression changes across disease subtypes, we conducted a pathway analysis<sup>54</sup> across cell types (STAR Methods; Table S4). Upper-layer excitatory neurons across dis-

ease subtypes show upregulation of ribosomal complexes and glutamatergic synapse genes with downregulation of processes related to chromatin remodeling, presynaptic signaling, and dendritic spines and postsynapses<sup>55,56</sup> (Figure 3A). Major pathway changes in excitatory neurons across layers in C9-ALS/FTLD include upregulation of the electron transport and respiratory chains, indicative of mitochondrial dysfunction, as well as alterations in protein homeostasis pathways, including autophagy, proteosome function, and endoplasmic reticulum stress (Figures 3A, S5A, and S5B).

Intermediate and deep-layer excitatory neurons in C9-ALS specifically show upregulation of postsynaptic organization and downregulation of cerebral cortex migration, respectively (Figures S5A and S5B). In sALS, excitatory neurons across cortical layers show downregulation of mRNA metabolism, with DNA damage pathways altered specifically in upper-layer neurons (Figures 3A, S5A, and S5B). Deep-layer excitatory neurons in sALS uniquely show upregulation of glutamatergic and GABAergic synapses, axonogenesis, and forebrain development (Figure S5B), highlighting previously documented dysregulation of axonal and potassium channel receptor genes in ALS.<sup>57,58</sup> Convergent gene expression changes across ALS subtypes involve pathways related to autophagy, glutamatergic neuronal function, mRNA metabolism, and the respiratory chain (Figures 3B–3D and S5C–S5G), with consistent upregulation of ALS-related genes such as *SQSTM1*, *VCP*, *CHCHD10*, *UCHL1*, and *NEFL*.<sup>59</sup>

We next evaluated the intersections of differentially expressed genes from upper-layer excitatory neurons between our dataset



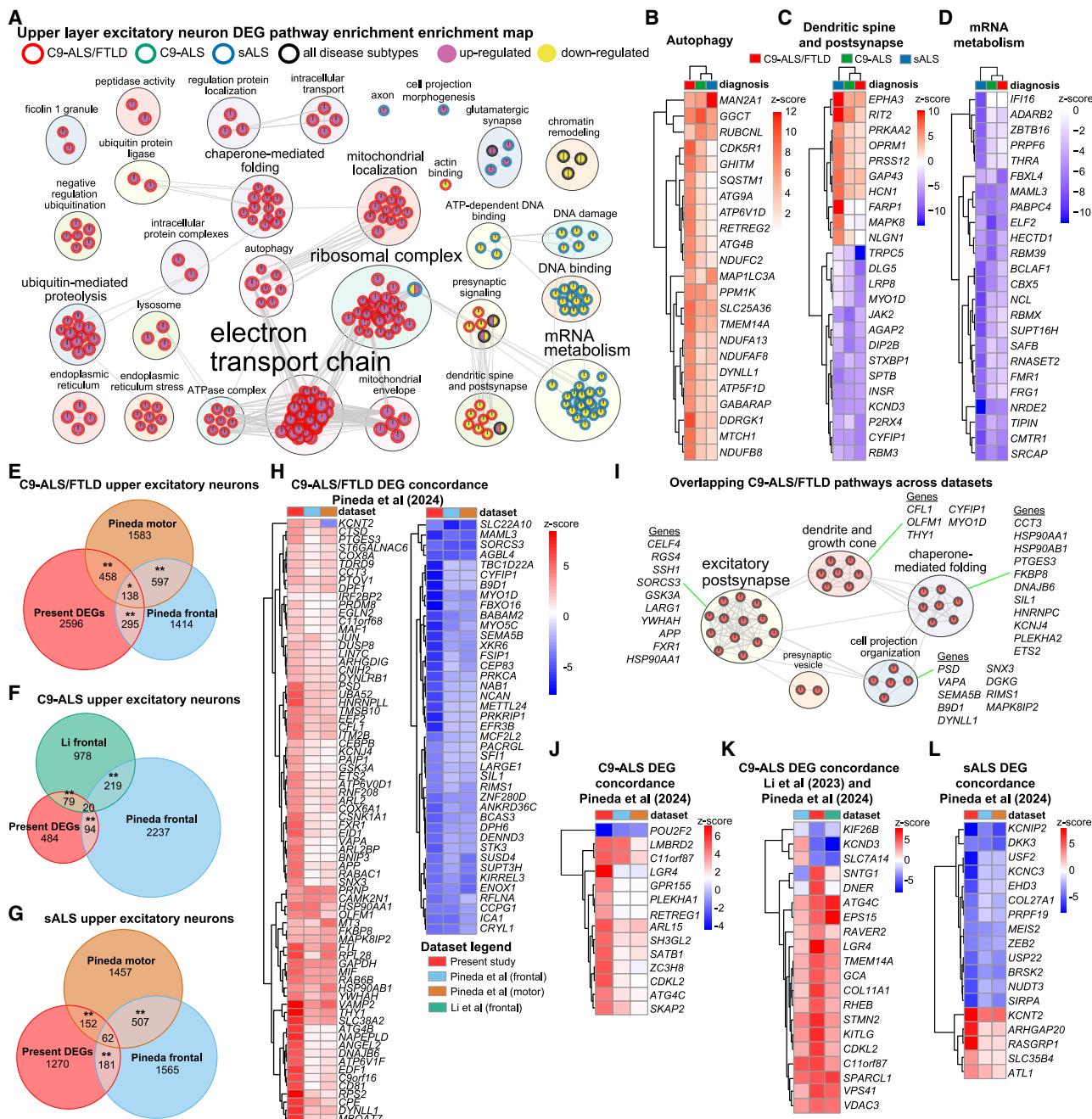
**Figure 2. Distribution of differentially expressed genes across cell types in ALS**

(A) Stacked bar plot indicating the number of differentially expressed genes on the y axis across ALS cell subtypes. Purple indicates upregulation and yellow downregulation.

(B-G) Volcano plots of differentially expressed genes in upper-layer excitatory neurons in (B) C9-ALS/FTLD cases vs. controls, (C) C9-ALS vs. controls,; and (D) sALS vs. controls and inhibitory neurons in (E) C9-ALS/FTLD cases vs. controls, (F) C9-ALS vs. controls, and (G) sALS vs. controls. Only differentially expressed genes that passed the FDR < 0.01 and  $|log_2 FC| \geq 0.5$  cutoffs were considered significant (shown in red). Differential expression was performed using the model-based analysis of single-cell transcriptomics (MAST) method<sup>53</sup> with a mixed-effect hurdle model including diagnosis, number of detected genes, unique molecular index count, sex, and age as fixed effects and donor ID as a random effect. All tests were two sided and adjusted for multiple comparisons with the Benjamini-Hochberg method. Effect sizes are expressed as model-derived  $\log_2$  fold changes.

(H-O) Venn diagrams indicating overlapping differentially expressed genes between C9-ALS/FTLD, C9-ALS, and sALS across cell types.

All data are based on 4 individuals with C9-ALS/FTLD, 2 with C9-ALS without FTLD, 4 with sALS, and 6 controls.



**Figure 3. Upper-layer excitatory neurons demonstrate converging and diverging changes across ALS disease subtypes**

(A) Pathway analysis enrichment map for differentially expressed genes in upper-layer excitatory neurons across ALS subtypes. Circles represent pathways grouped by similarity (bubbles) and lines indicate shared genes between pathways. Circle center and border colors indicate disease subtype and differential expression status.

(B-D) Clustered heatmap for top-ranked genes identified in annotated enrichment map clusters showing convergence across ALS subtypes for (B) autophagy, (C) dendritic spine and postsynapse, and (D) mRNA metabolism.

(E) Venn diagram of C9-ALS/FTLD differentially expressed genes in upper excitatory neurons that overlap between the present study and the motor and frontal cortices.<sup>45</sup> The triple intersection is tested for significance using a log-linear model ( $\chi^2$ , two-sided) with degrees of freedom = 2; \* $p$  = 0.0356. Pairwise overlaps are tested by separate hypergeometric analyses (one sided); \*\* $p$  < 0.001 after Bonferroni correction.

(F) Venn diagram of C9-ALS differentially expressed genes in upper excitatory neurons which overlap between the present study and the frontal cortex from two independent studies.<sup>44,45</sup>

(G) Venn diagram of sALS differentially expressed genes in upper excitatory neurons that overlap between the present study and the motor and frontal cortices.<sup>45</sup>

(legend continued on next page)

and two independent single-nucleus datasets in dorsolateral prefrontal and primary motor cortices from C9-ALS, C9-FTLD, and sALS cases (**Figures 3E–3L** and **S6A–S6D**; **Table S5**).<sup>44,45</sup> We identified 138 significantly overlapping genes between our C9-ALS/FTLD cases and C9-FTLD cases described by Pineda et al. (**Figures 3E**, **3H**, and **S6A**; chi-square  $p < 0.05$ ), with 115 showing concordant expression changes (74 up, 41 down) (**Figures 3**, **3H**, and **S6A**). Concordant genes include *PACRGL* and *ICA1*, known to contain cryptic exons repressed by TDP-43,<sup>60</sup> and pathway changes related to excitatory postsynapses, dendrites and axonal growth cones, chaperone-mediated folding, and cell projection organization (**Figure 3I**). These results identify a core group of overlapping genes and pathways perturbed in C9-ALS/FTLD and C9-FTLD.

For C9-ALS, we observe 20 overlapping differentially expressed genes between our dataset and those from the frontal cortex in Li et al. and Pineda et al., with 16 of these showing concordance (**Figures 3F**, **3K**, **S6B**, and **S6C**). *STMN2*, known to undergo cryptic splicing and premature polyadenylation under TDP-43 deficiency in ALS,<sup>28,60–62</sup> shows consistent upregulation across datasets in upper-layer excitatory neurons. Additionally, *ATG4C*, *C11orf87*, and *CDKL12* are upregulated across all regions and datasets in C9-ALS (**Figure S6C**), though their specific role in ALS remains unclear. In sALS, we observe 62 overlapping genes with Pineda et al.'s motor and frontal cortex data (**Figure 3G**). Concordantly dysregulated genes involve potassium channels (*KCNT2*, *KCNIP2*, and *KCNC3*), neuronal differentiation (*BRSK2*, *ATL1*, and *ARHGAP20*), and neuronal stress/structural markers (*USF2*, *EHD3*, *PRPF19*, *ZEB2*, *USP22*, and *SIRPA*) and are predominantly downregulated (**Figure 3L**). These results underscore shared and distinct molecular vulnerabilities in excitatory neurons between sALS and C9-linked ALS.

We next sought to determine whether similar patterns of pathway dysregulation observed in excitatory neurons were also evident in inhibitory neurons across ALS subtypes. Pathway analysis of inhibitory neurons revealed both unique and overlapping alterations across ALS subtypes (**Figure S7A**). The electron transport chain is consistently upregulated across ALS subtypes, strongly implicating mitochondrial dysfunction in disease. Chromatin remodeling is downregulated similarly across inhibitory and excitatory neurons in ALS subtypes. C9-ALS/FTLD inhibitory neurons show upregulation of ribosomal subunits, RNA localization, mitochondrial function, and proteostasis (**Figure S7A**). In C9-ALS, we observe increased axonal growth cone and downregulation in double-stranded DNA break repair pathways (**Figure S7A**). In sALS, we note downregulation in presynaptic signaling, dendritic structure, mRNA processing, histone binding, and DNA damage response pathways

(**Figure S7A**). Analysis of top-ranked genes in RNA localization, DNA break repair, and chromatin remodeling shows convergence across ALS subtypes, including upregulation of the disease-relevant genes *SOD1* and *SQSTM1* (**Figures S7B–S7D**).

Comparisons with independent datasets from inhibitory neurons further support these findings (**Figures S7E–S7K**; **Table S5**).<sup>44–46</sup> We find 84 differentially expressed genes significantly overlapping between our frontal and motor cortex data from Pineda et al., of which 66 genes showed concordance (57 up, 9 down) (**Figures S6E**, **S7E**, and **S7H**; chi-square  $p < 0.01$ ). Concordant genes include the ALS-related *VCP*<sup>63</sup> with pathways involving synaptic regulation, dendritic structures, ribonucleoprotein granules, and translation (**Figure S7I**).<sup>45,47</sup> For C9-ALS, we observe 21 overlapping genes with datasets from Li et al. and Pineda et al. (**Figures S7F** and **S6F**), including upregulation of *HSP90AA1*, *STMN2*, *NEFL*, *MDH1*, *RGS4*, and *VDAC3* (**Figure S7J**).<sup>44,45</sup> In sALS inhibitory neurons, we uncover 63 overlapping differentially expressed genes when comparing our study with frontal and motor cortex data from Pineda et al. (**Figures S6G** and **S7G**), including concordant upregulation of ribosomal subunits (*RPL7A*, *RPS7*, and *RPS26*) and *STMN2* (**Figure S7K**). This consistent dysregulation underscores shared molecular vulnerabilities in inhibitory neurons across sALS and C9-ALS subtypes.

Overall, these data highlight both converging and distinct molecular signatures in inhibitory neurons, closely overlapping with changes observed in excitatory neurons across cortical regions in ALS (summarized in **Table S6**).

### Protein homeostasis pathways are dysregulated in glial cells across ALS subtypes

Oligodendrocytes may drive neuronal dysfunction in ALS via impaired myelination, metabolic deficits, and pro-inflammatory signaling.<sup>47,64</sup> Across ALS subtypes, oligodendrocytes show upregulation of chaperone-mediated folding genes, and downregulation of genes involved in mRNA metabolism and chromatin remodeling (**Figures S8A–S8C**). C9-ALS/FTLD oligodendrocytes show elevated apoptosis and impaired neuronal development pathways, whereas C9-ALS shows reduced DNA break repair. sALS oligodendrocytes uniquely exhibit downregulation of *TARDBP* without specific pathway enrichment (**Figures S8A** and **S8C**; **Table S3**).<sup>65</sup> Comparing oligodendrocyte gene expression changes with data from the frontal and motor cortices from Pineda et al., both C9-ALS/FTLD and sALS demonstrate downregulation of myelin-associated scaffold gene *SEPTIN4*<sup>66</sup> (**Figure S8D–S8F**). C9-ALS/FTLD oligodendrocytes also show decreased expression of *NAALADL2* (**Figure S8D**), which is associated with myelin deficiency,<sup>67</sup> and downregulation of the

(H) Clustered heatmap of concordant C9-ALS/FTLD differentially expressed genes (positive correlation between Z scores), separated by upregulated (left) and downregulated (right) genes.

(I) Enrichment map for overlapping biological processes enriched in C9-ALS/FTLD frontal and motor areas (based on the three-way intersection in E). Select genes from each cluster are highlighted.

(J) Clustered heatmap of concordant C9-ALS differentially expressed genes in frontal regions alone.

(K) Clustered heatmap of concordant C9-ALS differentially expressed genes in frontal and motor regions.

(L) Clustered heatmap of concordant sALS differentially expressed genes in frontal and motor regions.

Across independent dataset comparisons, significance was set at FDR  $< 0.01$  and  $|LFC| \geq 0.5$ . All data are based on 4 individuals with C9-ALS/FTLD, 2 with C9-ALS without FTLD, 4 with sALS, and 6 controls.

chromatin remodeling and splicing genes *BAZ2B* and *SRRM1*, respectively (Figure S8D). Concordant with independent datasets, C9-ALS oligodendrocytes show increased expression of the autophagy-related genes *ATG4B* and *HSP90AB1* (Figure S8E), both RNA targets of TDP-43.<sup>68,69</sup> Oligodendrocytes from sALS (Figure S8F) show downregulation of the myelin sheath component *PLLP* and TDP-43 kinase *CSNK1E*.<sup>70</sup>

In OPCs, ATP metabolism is upregulated across ALS subtypes (Figures S8G and S8H), suggesting a stressed cellular state. Chaperone-mediated folding is upregulated in C9-ALS/FTLD and C9-ALS, while both C9-ALS and sALS show downregulation of glycosylphosphatidylinositol (GPI) anchor processes and chromatin remodeling (Figures S8G and S8I). Specifically, C9-ALS/FTLD OPCs show elevated ubiquitin protein ligase activity but reduced pathways related to cell morphogenesis and tubulin binding (Figure S8G). C9-ALS OPCs demonstrate upregulation of endogenous hormone response genes and downregulation in ATP-dependent DNA binding pathways (Figure S8G). In sALS, OPCs show downregulation of synapse organization pathways (Figure S8G), suggesting impaired neuronal connectivity. Despite limited overlap with independent datasets from Li et al. and Pineda et al., *ANKRD10* shows concordant upregulation specifically in C9-ALS, consistent with its elevation in skeletal muscle from ALS patients.<sup>71</sup> In sALS, *APOD*, critical for remyelination,<sup>72,73</sup> is consistently downregulated, aligning with proteomic alterations observed in cerebrospinal fluid from ALS patients.<sup>74</sup>

Astrocytes are linked with the pathophysiology of ALS through loss of homeostasis and reactive toxicity.<sup>75,76</sup> In C9-ALS/FTLD and C9-ALS (Figures 2A and 2N), astrocytes feature upregulation of the unfolded protein response and actin filament pathways alongside downregulation of chromatin organization (Figures S8J–S8L). C9-ALS/FTLD astrocytes specifically show elevated cholesterol and microRNA (miRNA) metabolism pathways, reduced filopodium tip gene expression, and increased reactive markers *GFAP* and *CHI3L1*<sup>77,78</sup> (Figure S8J; Table S3), common markers of reactive astrocytes. Astrocytes in C9-ALS uniquely demonstrate increased protein localization and decreased cilium assembly formation<sup>79</sup> (Figure S7J). In sALS, astrocytes show downregulation of nuclear protein complex genes and *TARDBP* (Figures S8J and S8L), consistent with reactive astrocyte phenotypes linked to TDP-43 loss.<sup>80</sup> Analysis of independent frontal and motor cortex datasets reveals concordant downregulation of the synapse-associated genes *SLC4A4* and *GRIA2* in C9-ALS/FTLD astrocytes (Figure S8M; Table S5), while C9-ALS astrocytes show reduced *NTRK2*, essential for astrocyte morphology and brain-derived neurotrophic factor signaling<sup>75,76,81,82</sup> (Figure S8N; Table S5). In sALS astrocytes, there is concordant downregulation of *SNRNP70*, a splicing-related RBP<sup>83</sup> linked to ALS through interactions with *FUS*.<sup>84</sup> Together, these findings highlight distinct and overlapping astrocytic alterations across ALS and FTLD subtypes and brain regions.

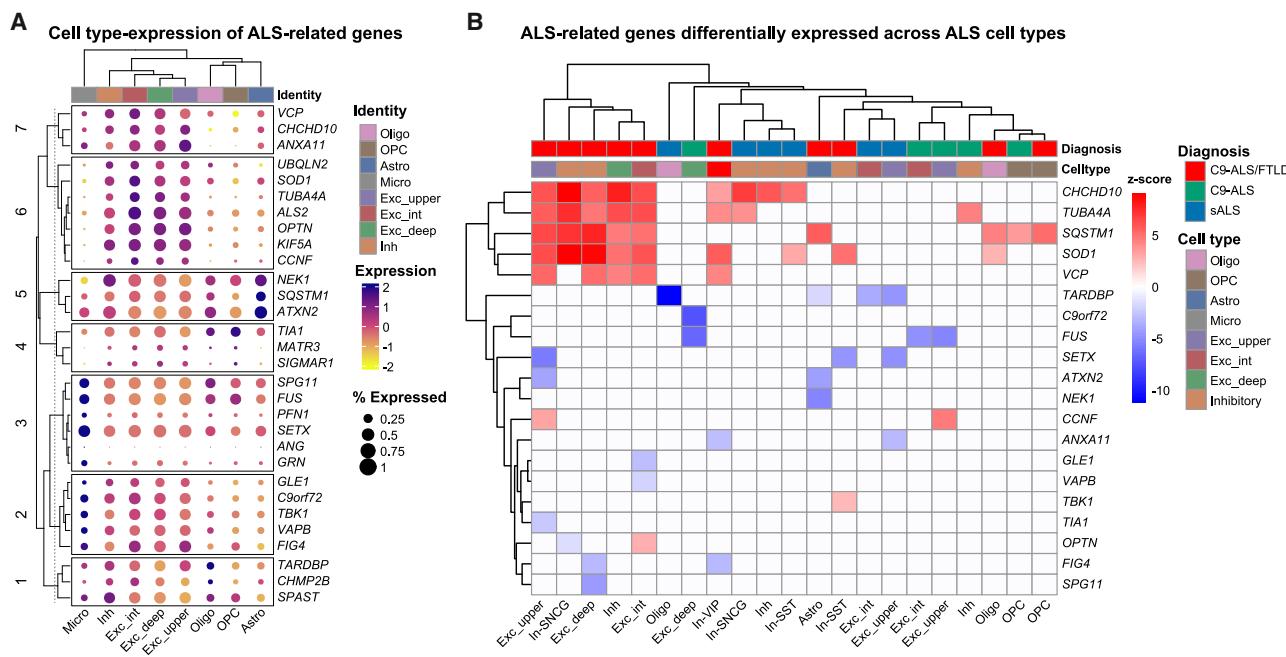
Altered cell states in microglia, the primary immune cells of the central nervous system, are a hallmark of ALS and neurodegeneration.<sup>85–87</sup> Compared to sALS, microglia from C9-ALS (with and without FTLD) demonstrate more pronounced gene expression changes (Figures 2A and S9A), consistent with im-

mune-related function related to *C9orf72*.<sup>88,89</sup> Across ALS subtypes, microglia show increased glucose metabolism, cytokine signaling, and chaperone-mediated refolding alongside decreased small GTPase signaling, neuron projection morphogenesis, and interleukin-6 pathways (Figures S9A–S9D). C9-ALS/FTLD microglia specifically upregulate the innate immune response, antigen presentation, phagocytosis, and iron ion homeostasis pathways (Figure S9A) but downregulate chemotaxis and glutamatergic synapse function genes (Figures S9A and S9B). C9-ALS microglia shift toward pro-inflammatory signaling, programmed cell death, and growth pathways (Figure S9A). Notably, *JAK1* and *JAK2* are consistently downregulated across all ALS subtypes (Figure S9D), consistent with data demonstrating the importance of JAK/STAT signaling in ALS pathogenesis.<sup>90</sup>

Microglia from our orbitofrontal data show gene expression changes concordant with independent frontal and motor cortex datasets,<sup>44–46</sup> including upregulation of the microglial-enriched marker *SYTL3*<sup>91</sup> and downregulation of *ATP8B4* (Figure S9E), a gene implicated in Alzheimer's disease risk.<sup>92</sup> We examined microglial states across ALS subtypes by scoring gene expression<sup>93</sup> across four independent datasets<sup>44–46</sup> using established neurodegenerative disease markers.<sup>86</sup> Microglia from C9-ALS and C9-FTLD subtypes consistently show reduced homeostatic microglial markers *CSF1R*, *CX3CR1*, and *P2RY12* and increased expression of disease-associated microglia (DAM) stage 1 (*TYROBP*, *APOE*, and *B2M*) and stage 2 (*SPP1*) markers (Figures S9F and S10A–S10C). This disease-associated cell state shift mirrors patterns observed in other neurodegenerative diseases.<sup>85–87</sup>

### ALS-related risk gene expression across frontal cortex cell types

To investigate the cell-type-specific expression of ALS-related genes, we examined the expression of 30 genes associated with familial ALS or sporadic risk.<sup>94,95</sup> Hierarchical clustering identified microglia-enriched (modules 1–3), oligodendrocyte/OPC/astrocyte-enriched (modules 4–5), and neuron-enriched (modules 6–7) gene modules (Figure 4A). Cell-type-specific differential expression revealed neuron-enriched *CHCHD10*, *TUBA4A*, and *SOD1* upregulated in C9-ALS/FTLD and sALS. *SQSTM1* is upregulated in neurons and glia in C9-ALS/FTLD and C9-ALS, while *VCP* is specifically upregulated in neurons from C9-ALS/FTLD. Upregulation of the mitochondrion-associated genes *CHCHD10*, *TUBA4A*, *SQSTM1*, *SOD1*, and *VCP* in excitatory and inhibitory neurons from C9-ALS/FTLD emphasizes mitochondrial dysfunction (Figure 4B). *CCNF* upregulation is specific to upper-layer excitatory neurons from C9-ALS. *TARDBP* is downregulated in oligodendrocytes, astrocytes, and excitatory neurons in sALS. *C9orf72* is downregulated in oligodendrocytes from C9 cases, while *FUS* shows downregulation across all excitatory neuron subtypes. *SETX* is downregulated in upper-layer neurons in C9-ALS/FTLD. In sALS, *ANXA11* is downregulated in upper-layer excitatory neurons and vasoactive intestinal peptide-expressing inhibitory neurons. These findings highlight cell-type-specific alterations in ALS-related gene expression, providing insights into the molecular mechanisms driving ALS pathogenesis.



**Figure 4. Distribution of differentially expressed ALS-related genes across orbitofrontal cell types in ALS**

(A) Clustered heatmap dot plot analysis comparing average ALS-related gene expression levels (y axis) in cell types. The size of each dot corresponds to the percentage of cells of a given cell type expressing the corresponding gene of interest. Cell type identity is indicated on the upper x axis by color coding and lower x axis by label. Columns are clustered hierarchically, whereas rows are clustered and partitioned with k-means clustering (7 clusters).

(B) Clustered heatmap of ALS-related genes intersecting with differentially expressed genes across cell types and ALS subtypes. Scale is in Z scores, where the red scale indicates upregulation, the blue scale shows downregulation, and white shows that the gene was unchanged in a particular cell type for a given disease condition. Differential expression was performed using MAST (two sided) with the covariates and random effects described in STAR Methods, and multiple comparisons were corrected using the Benjamini-Hochberg method. Only genes meeting FDR < 0.05 and  $|LFC| \geq 0.5$  are shown.

All data are based on 4 individuals with C9-ALS/FTLD, 2 with C9-ALS without FTLD, 4 with sALS, and 6 controls.

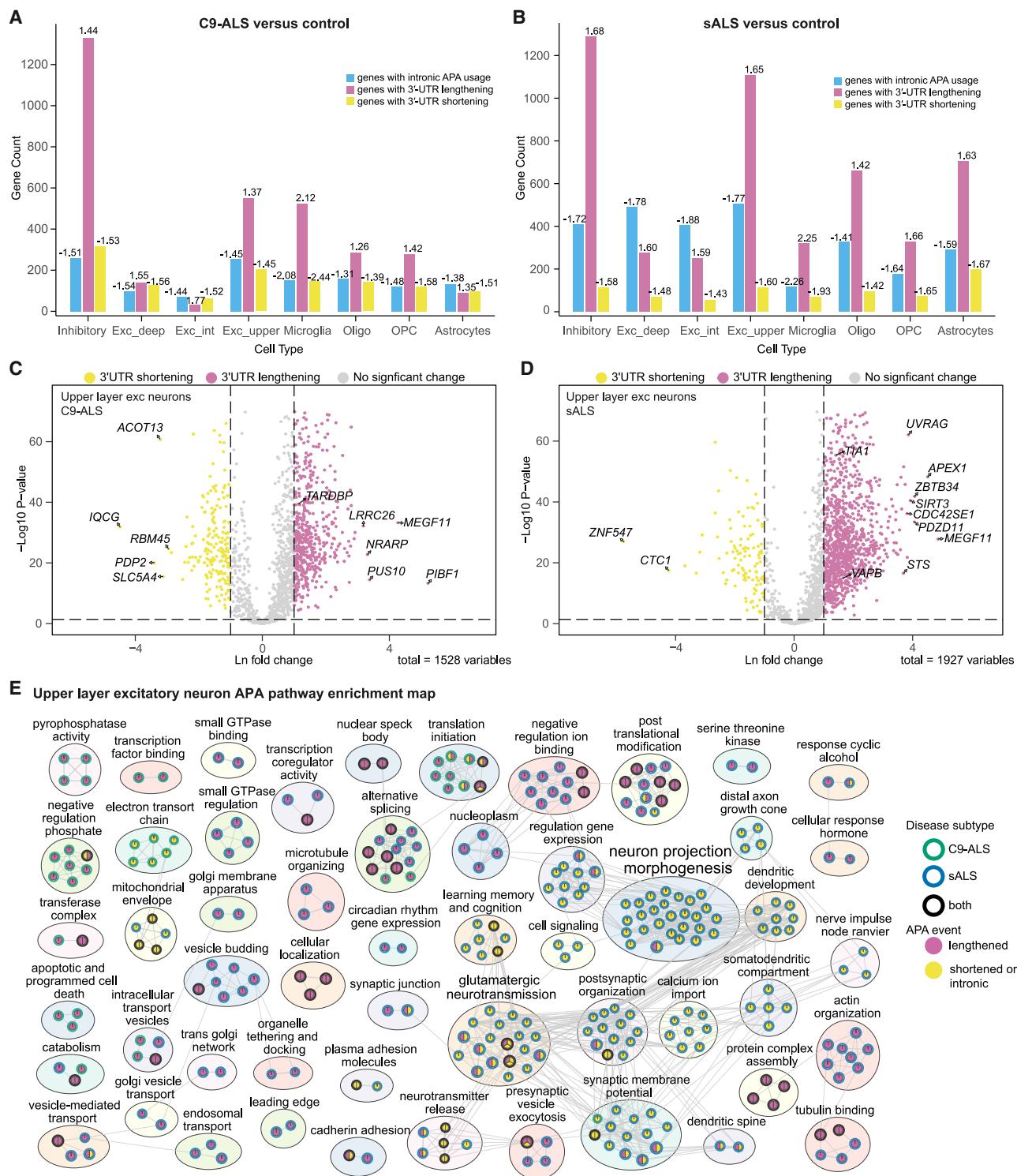
Taken together, these findings highlight converging and distinct alterations across ALS subtypes and cortical cell types, underscoring widespread disruptions in cellular pathways linked to mitochondrial function, protein homeostasis, and RNA metabolism.

#### Widespread and cell-type-specific dysregulation of APA across ALS subtypes

Given the widespread dysregulation of RNA metabolism genes across neuronal and glial populations in our results, we next sought to investigate whether APA, which has previously been shown to be altered in bulk tissue from ALS patients,<sup>21,32,36</sup> also exhibits cell-type-specific changes. We identified known polyadenylation (PA) sites in transcripts across our ALS subtypes and controls and performed differential PA analysis to compare ALS with controls (Table S7)<sup>43,96</sup> (STAR Methods). To mitigate false positives, we conducted an empirical FDR analysis using the control samples to establish cell-type-specific FDR thresholds (Table S8). These stringent criteria enabled us to filter out potential false positives across different cell types, ensuring an FDR of 10% or less for significant APA events. To further validate the APA findings and rule out potential confounding factors, we investigated the relationship between APA events and differentially expressed genes. We examined whether genes exhibiting APA, either lengthening, shortening, or intronic, also show significant changes in overall expression levels across the cell types analyzed. Our anal-

ysis reveals no significant correlation between differentially expressed genes and their APA events (Figures S11A–S11E), consistent with a recent study showing that mRNA abundance and 3' UTR length are two independent measures of gene output.<sup>97</sup> This also suggests that APA events represent a distinct regulatory layer, potentially influencing disease-specific cellular functions without altering overall gene expression levels.

Our differential analysis revealed numerous significant PA differences between ALS subtypes and controls across all major cell types (Figures 5A and 5B). In both C9-ALS (with and without FTLD) and sALS, we observe a global trend toward distal PA site usage (Figures 5A and 5B). However, we also identified a considerable number of intronic and shortened genes, indicating that APA occurs in both directions. This pattern is evident across major cell types in both C9-ALS and sALS, but a higher proportion of intronic APA usage is detected in all neuron subtypes, oligodendrocytes, microglia, and astrocytes in sALS compared to C9-ALS (Figures 5A and 5B). Intronic APA usage is particularly prevalent in intermediate- and deep-layer excitatory neurons of sALS cases. The abundance of 3' UTR shortened and intronic APAs in ALS compared to controls suggests a potential increase in truncated gene transcripts, which could significantly impact gene function. Conversely, the shift toward distal PA sites and longer 3' UTR could affect function by altering mRNA metabolism, transport, and stability.<sup>98,99</sup>



**Figure 5. Dysregulation of the APA landscape in ALS compared to a control**

(A) Barplot depicting the number of genes undergoing 3' UTR lengthening, shortening, and intronic PA site usage in C9-ALS compared to a control across major cell types.  $n = 6$  individuals (4 C9-ALS/FTLD and 2 C9-ALS without FTLD) vs. control ( $n = 6$  individuals). Measurements were taken from distinct donor samples, with APA events quantified from pseudobulked nuclei per donor to ensure statistical independence. APA differences were tested using the model-based analysis of alternative polyadenylation using 3' end-linked reads (MAAPER) method, with  $p$  values adjusted by the Benjamini-Hochberg procedure and further filtered

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We observe cell-type-specific APA dysregulation involving key ALS genes in C9-ALS and sALS ([Figures 5C, 5D, S12A–S12F](#), and [S13A–S13H](#)). Among excitatory neurons, upper-layer cells in C9-ALS display lengthening of *TARDBP*, whereas sALS cells show lengthening of *TIA1* and *VAPB*. In the intermediate layer, sALS is associated with lengthening of *TIA1*, *C9orf72*, and *TARDBP*. Deep-layer excitatory neurons in C9-ALS exhibit lengthening of *ALS2* along with shorter 3' UTRs for *ANXA11* and *TARDBP*, while in sALS, deep-layer neurons show shorter 3' UTRs for *UNC13A*, *TIA1*, and *ANXA11*. In inhibitory neurons, C9-ALS is marked by lengthening of *SPAST*, *ALS2*, *C9orf72*, and *TBK1*, accompanied by shorter 3' UTRs for *ANXA11* and *VCP*. By contrast, sALS inhibitory neurons display lengthening of *SPAST*, *NEK1*, *SETX*, *ALS2*, *C9orf72*, and *VAPB* together with shortened *ANXA11*.

In glial populations, across oligodendrocytes, C9-ALS shows lengthening of *ALS2*, whereas sALS shows lengthening of *VCP*. In OPCs, sALS exhibits lengthening of *TIA1* and shorter *ATXN2*. Astrocytes in sALS displays lengthening of *TIA1*, *TARDBP*, and *C9orf72* but shows a shorter 3' UTR for *ANXA11*. In C9-ALS, astrocytes express shorter *TARDBP*. Microglia in C9-ALS are characterized by lengthening of *ALS2*, *TIA1*, *TBK1*, and *SETX*, whereas sALS microglia show lengthening of *SQSTM1* and *TARDBP* alongside shorter *ANXA11*. Taken together, these findings highlight distinct APA profiles and emphasize layer- and cell type-dependent shifts, particularly for *TARDBP*.

To assess the biological significance of APA dysregulation in C9-ALS and sALS, we analyzed pathway enrichment across neuronal and glial cell types ([Figures 5E, S14A–S14C](#), and [S15A–S15D](#); [Table S9](#)). Our analysis reveals distinct APA signatures between C9-ALS and sALS subtypes, where APA events across cell types primarily affect a greater number of pathways in sALS than in C9-ALS. Both conditions share disruptions in mRNA metabolism, splicing, and stress response pathways through 3' UTR lengthening in neurons, oligodendrocytes, and microglia.

Excitatory neurons show pronounced layer-specific effects, with upper-layer excitatory neurons showing intronic APAs in glutamatergic signaling pathways ([Figure 5E](#)). Strikingly, sALS, but not C9-ALS, shows a widespread switch to intronic APA usage in intermediate- and deep-layer neurons, affecting dendritic structure, synaptic organization, and calcium transport ([Figures S14A](#) and [S14B](#)). These intronic APA changes encompass known disease-associated genes such as *UNC13A*.<sup>20,60,100</sup> In addition, sALS shows lengthening in AS pathways, while C9-ALS exhibits this pattern in deep-layer neurons.

Specific disruptions in inhibitory neurons from C9-ALS include 3' UTR shortening in mitochondrial pathways, capturing changes also identified from the differential expression analysis ([Figure S14C](#)). In microglia, specific changes in C9-ALS include 3' UTR lengthening in myeloid differentiation and endosomal membrane response, whereas transcriptional machinery and chromatin organization are impacted in sALS ([Figure S15D](#)). Moreover, sALS exhibits extensive intronic APA events related to neuron projection and synapses in OPCs and astrocytes ([Figures S15B](#) and [S15C](#)), revealing pathway changes not detected by differential gene expression analysis.

Collectively, this analysis reveals distinct APA signatures between two ALS subtypes, most notably the prevalence of intronic APAs in sALS neurons and glia when compared to C9-ALS. While certain pathways are affected across multiple cell types, the cell-type- and disease-specific patterns of APA regulation suggest diverse post-transcriptional mechanisms contributing to ALS pathogenesis. These APA-mediated changes often converge with pathways identified through differential gene expression analysis, highlighting the multi-modal nature of gene regulation in ALS.

### APA-Net reveals mechanistic insights into APA dysregulation in ALS

To decode the grammar of APA dysregulation in our ALS cohorts, we developed a deep learning model called APA-Net. To comprehensively examine APA changes, we performed differential analysis on all PA pairs of transcripts that showed significant transcript-wise APA compared to controls (see [STAR Methods](#), [Table S7](#)). We observe thousands of significant APA changes across cell types ([Figure S16](#)). Comparison of APA events between C9-ALS and sALS identified that 12.6%–30.8% of events are shared between both disease subtypes ([Figure S17A](#)). These shared events demonstrate remarkably high Pearson correlation coefficients, ranging from  $0.65 (p < 5.3 \times 10^{-159})$  to  $0.87 (p < 7.2 \times 10^{-176})$  across all cell types, with particularly strong correlations observed in neurons ([Figure S17B](#)). While most APA events are unique to either C9-ALS or sALS, suggesting distinct regulatory mechanisms in each subtype, these events maintain a positive, though weaker, correlation between the subtypes.

APA-Net is trained to predict the APA log-fold change (LFC) ([Figure S16](#)) using the RNA sequences surrounding proximal and distal PA sites for each APA event, along with the RBP expression profiles per cell type ([Figure 6A](#)) as input. To assess APA-Net performance, we compared the predicted to the observed APA LFC values using Pearson correlation across

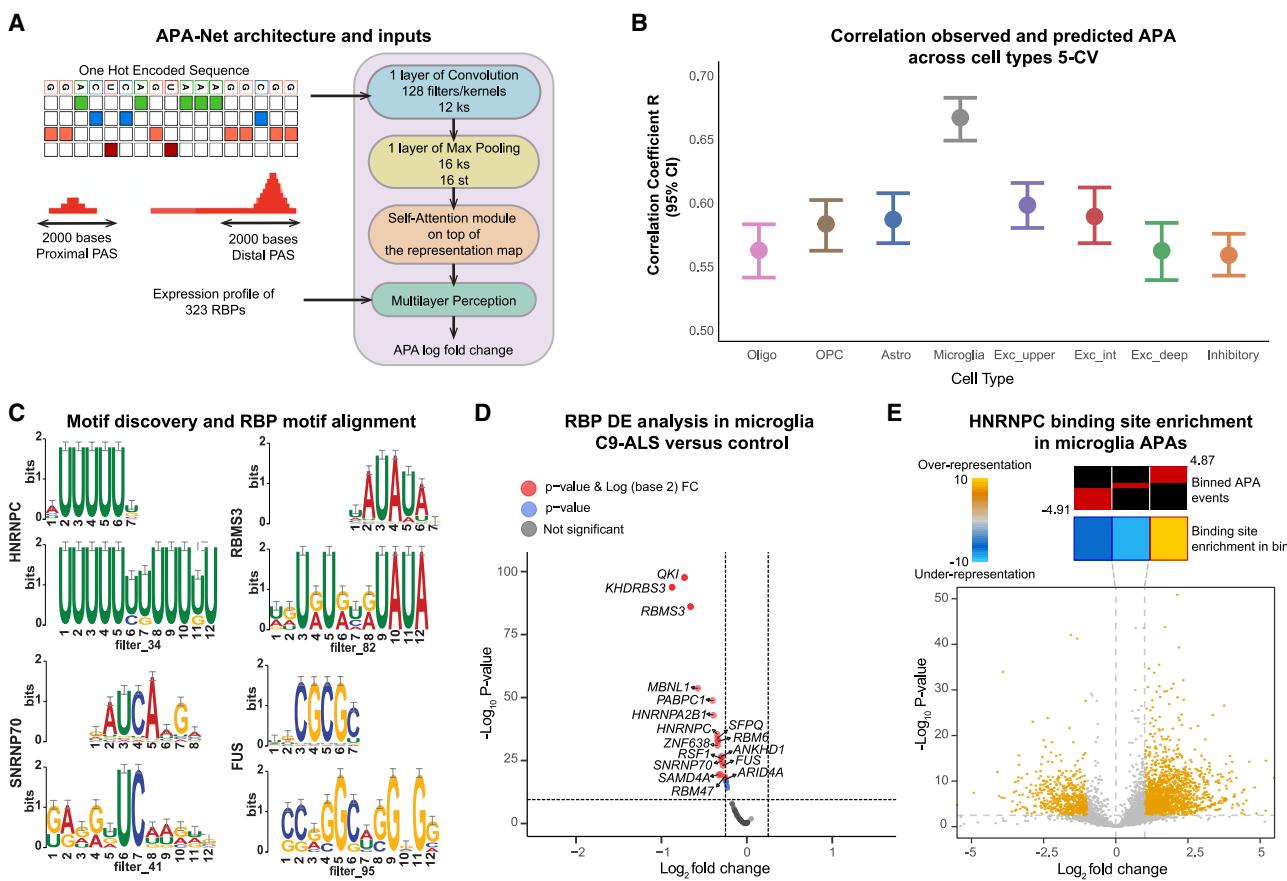
using an empirical FDR  $\leq 10\%$  from control-control comparisons. Effect sizes are shown as median relative expression difference (RED) for 3'-UTR size difference (RED<sub>U</sub>, considering 3'-most exon PAs only) and RED for internal PAs (RED<sub>I</sub>) on the bars.

(B) Same as (A) for sALS ( $n = 4$  individuals) vs. control ( $n = 6$  individuals).

(C) Volcano plot for upper-layer excitatory neurons in C9-ALS for genes undergoing 3' UTR lengthening and shortening. Only genes that passed the 10% empirical FDR threshold for transcript-wise APA usage are shown ([STAR Methods](#)). Vertical dashed lines indicate  $\log_2$  fold change thresholds of  $-1$  and  $1$ ; a horizontal dashed line represents an adjusted  $p < 0.05$ . Tests are two sided; multiple comparisons were corrected (Benjamini-Yekutieli where applicable). Effect sizes are APA  $\log_2$  fold changes.

(D) Same as (C) for sALS vs. control.

(E) Enrichment map showcasing the pathways enriched for genes undergoing lengthening and shortening in C9-ALS and sALS for upper-layer excitatory neurons. The node border indicates ALS subtype; fill shows whether the pathway is enriched for transcripts with a lengthened 3' UTR, shortened 3' UTR, and/or intronic APA. Pathway enrichment was tested using g:Profiler2 (right tailed, one-sided hypergeometric) with  $q < 0.1$  after Benjamini-Hochberg correction; the effect size is the proportion of pathway genes meeting the APA criterion. Annotation text size for clusters is scaled by the number of nodes within each cluster.



**Figure 6. Development and analysis of a deep learning model to unravel the grammar of APA regulation in C9-ALS and sALS cases**

(A) Schematic of the APA-Net architecture and inputs. The model uses two inputs: sequences surrounding the PA sites and the expression profiles of 323 RBPs. (B) Performance of APA-Net in predicting APA events across cell types using 5-fold cross-validation. The plot shows Pearson's correlation coefficient ( $R$ ) between observed and predicted APA LFCs with 95% confidence intervals. (C) APA-Net filters interpreted as motifs, which were subsequently aligned to an RBP database to identify corresponding RBPs. (D) Differential expression analysis performed on the identified RBPs in microglia from C9-ALS vs. control.  $n = 6$  vs.  $n = 6$  individuals. Measurements are from distinct donor samples. Analysis used the MAST mixed-effect hurdle model (two sided) with Benjamini-Hochberg correction. Effect sizes are model  $\log_2$  fold changes. (E) Enrichment analysis of HNRNPC binding sites for APA events in microglia. Top: APA sequences are divided into equally populated bins based on their LFC values. Red shows the proportion of APA events in each bin. Middle: Enrichment score indicating under-representation and over-representation of the binding sites. Hypergeometric tests (right tailed) were used, with multiple-comparison correction (Benjamini-Hochberg). Bins with significant enrichment (corrected  $p < 0.05$ , red) or depletion (blue) of poly(U) motifs are denoted with a bolded border. Bottom: volcano plot showing the distribution of changes in APA LFC in microglia from C9-ALS compared to controls. Effect sizes are APA  $\log_2$  fold changes. Significant observations are highlighted in orange.

5-fold cross-validation. APA-Net achieves a strong Pearson correlation coefficient across the entire dataset (Figure 6B). Thus, APA-Net robustly learns cell-type-specific APA profiles across disease subtypes, demonstrating its potential as a powerful tool for studying APA in complex diseases like ALS.

Using a convolutional neural network (CNN) architecture augmented with multi-head attention (MAT), our model is designed to identify *cis*-regulatory elements that influence PA site selection across different cell types. We optimized the model architecture,<sup>101,102</sup> including kernel size and max pooling steps, to capture relevant genomic motifs (Figure 6A). This hybrid CNN-MAT architecture enhances the model's ability to capture both local and long-range dependencies in sequence data. This is important for accurately identifying *cis*-regulatory elements influ-

encing APA, particularly those involved in binding of RBPs other than the core PA (CPA) machinery.

To identify potential *cis*-regulatory elements involved in APA, we used the filter weights from the CNN module of APA-Net, which represent learned sequence motifs (Figure S18; STAR Methods). We scanned every sequence within the test dataset using the model's filters to identify regional subsequences where the filters showed high activation or response. Next, we aligned RBPs from the compendium of RNA-binding motifs<sup>103</sup> with the motifs found by APA-Net (Figure 6C; Table S10). We observed several ALS-related genes, such as *FUS*,<sup>104</sup> *TARDBP*,<sup>105</sup> *FXR1*,<sup>106</sup> and *G3BP2*,<sup>107</sup> as well as numerous APA and AS factors, such as *HNRNPC*, *SNRNP70*, *SFPQ*, *MBNL1*, and *SRSF7*,<sup>108–112</sup> among the aligned RBPs (Table S10).

Differential expression analysis<sup>113</sup> reveals that many of these RBPs, along with others, are significantly dysregulated across major cell types in both C9-ALS and sALS compared to controls (Figures S19 and S20). HNRNPK shows significant downregulation in C9-ALS microglia (Figure 6D), where APA-Net has its strongest predictive performance, though it also remains significantly predictive across other cell types. To investigate the functional implications of this finding, we examined HNRNPK binding patterns using in-house enhanced crosslinking and immunoprecipitation sequencing data generated from HEK293T cells (STAR Methods). HNRNPK is known to bind regions surrounding proximal PA sites, and its knockdown can promote distal PA site usage.<sup>114–116</sup> Based on its downregulation in C9-ALS microglia (Figure 6D), we hypothesized that HNRNPK binding sites would be enriched in proximal sites of the APA events showing lengthening. We analyzed whether HNRNPK binding sites are enriched in microglia APA events. We observe a significant enrichment of binding sites for the lengthened APA events ( $p < 1 \times 10^{-4}$ ) and a lower enrichment for the shortened APA events (Figure 6E), which supports a regulatory role of HNRNPK in APA in ALS.

Overall, our findings highlight the value of APA-Net's interpretability, enabling us to generate mechanistic hypotheses about APA dysregulation in ALS.

### RBP interactions reveal cell-type-specific mechanisms and dysregulation of APA in ALS

Leveraging APA-Net's interpretability, we examined RBPs potentially involved in APA dysregulation in ALS. To this end, we analyzed the model's convolutional filter activation patterns by scanning input sequences to identify co-occurring sequence motifs, which were subsequently mapped to specific RBPs. This allowed us to generate RBP activation profiles across sequences, which we used to calculate pairwise correlations between RBPs based on their co-activation patterns. These patterns provide an interpretable proxy for motif-level co-occurrence learned by the model. These correlation matrices were then clustered to identify regulatory modules, defined as groups of RBPs with coordinated activation patterns, within microglia, neurons, and other glial cell types (Figures 7 and S21–S27). These modules suggest how combinations of RBPs may cooperatively regulate APA in a cell type-specific manner. Furthermore, these profiles revealed distinct patterns of RBP interactions across cell types (Figures S28A and S28B). Notably, the dissimilarity heatmap (Figure S28A) highlights cell-type-specific regulatory networks that are not captured by RBP expression patterns alone. While many identified modules contain RBPs from the same family or with similar binding preferences, a substantial subset of interacting RBP pairs shows little to no sequence motif similarity (Figure S29), suggesting that functionally coordinated RBPs may regulate APA through distinct sequence motifs.

In microglia, module 2 (Figure 7) highlights a diverse array of RBPs involved in various aspects of RNA processing and regulation. This module includes RBPs that regulate AS, such as ESRP2,<sup>117</sup> U2AF, and several members of the CUGBP Elav-like family (CELF3, CELF4, CELF5, and CELF6).<sup>118</sup> Additionally, module 2 features members of the CPEB family, specifically CPEB2 and CPEB4, which bind to specific sites within the 3' UTR region and regulate mRNA transport and metabolism in

the cytoplasm.<sup>119,120</sup> Dysregulation of CPEBs has been documented in various neuronal pathologies<sup>120</sup> and is correlated with APA changes in Huntington's disease.<sup>121</sup> This module also encompasses single-stranded RBPs, including A1CF, RBMS1, and MSI1, as well as HNRNPK, which is involved in maintaining short 3' UTRs, and SFPQ, which plays a role in transcript shortening by activating cryptic last exons in ALS.<sup>122</sup> This clustering of diverse RBPs with roles in splicing, PA, and 3' UTR processing suggests a coordinated regulation of post-transcriptional events in microglia that are relevant to ALS.

Module 3 includes RBPs involved in RNA processing and stress response, such as QKI,<sup>123</sup> RBOFX1,<sup>124</sup> and YBX1/YBX2,<sup>125</sup> which are important in stress granule formation. It also features RBPs with K-homologous (KH) domains, including PCBP1, PCBP2, KHDRBS3, and HNRNPK, which bind to poly(C) regions and regulate AS, stability, and translation of transcripts.<sup>126,127</sup>

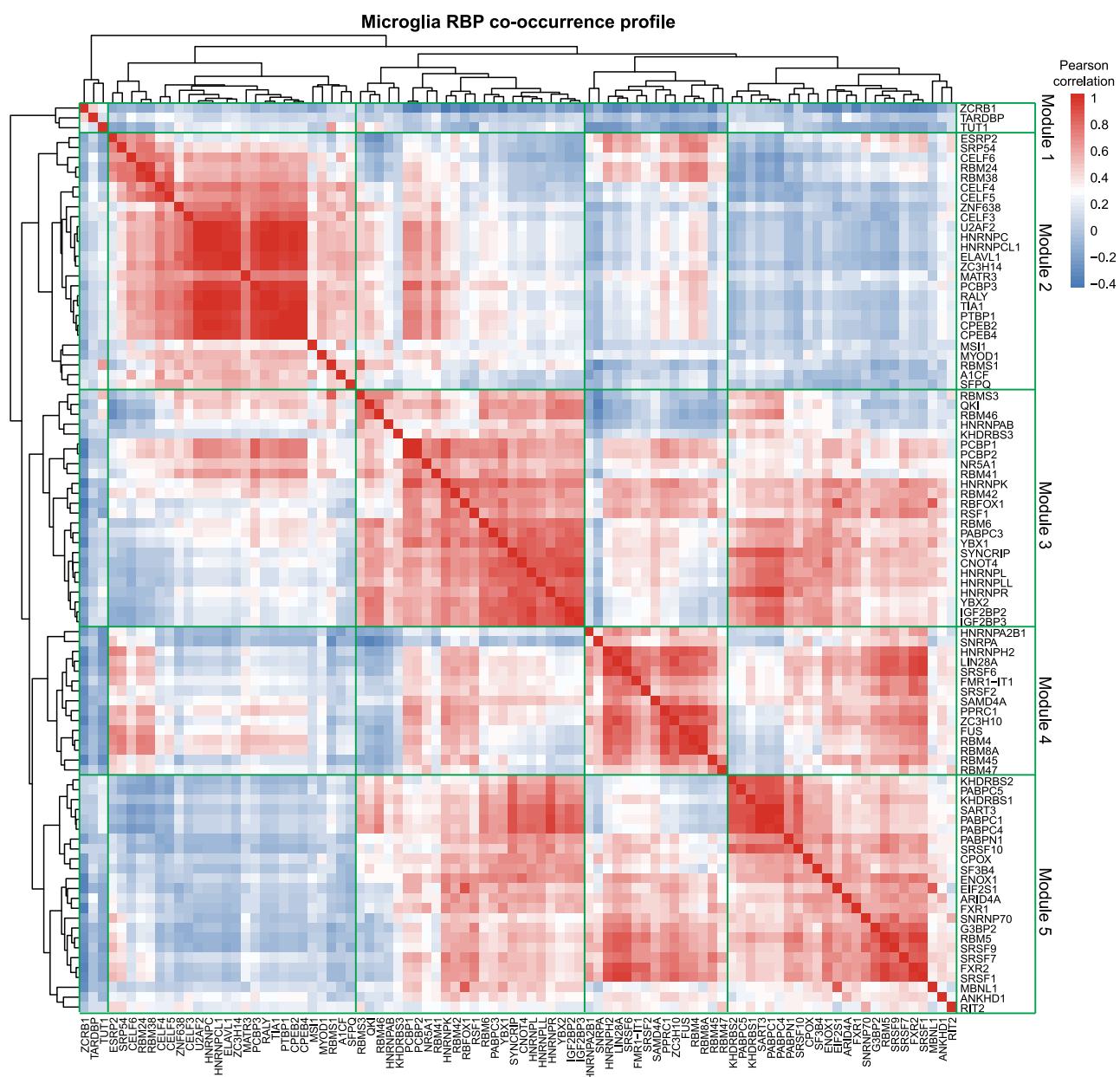
Module 4 is characterized by RBPs involved in mRNA processing and export, such as RBM8A and RBM4,<sup>128,129</sup> which are also involved in AS and stress granule localization. This module includes hnRNPs such as HNRNPA2B1, HNRNPH2, and FUS, which play roles in pre-mRNA splicing and mRNA export.<sup>15,20,130,131</sup> SAMD4A, which is associated with the coordinated regulation of transcription, AS, and APA,<sup>132</sup> is also part of this module. The composition of module 4 suggests an interplay between splicing regulation, mRNA export, and post-transcriptional processing, which may contribute to microglial alterations in ALS.

Module 5 includes a diverse array of RBPs involved in RNA processing, splicing, and stress response. This module features KHDRBS1 and KHDRBS2, which are involved in AS and 3' UTR formation, and members of the PABC family (PABPC1, PABPC4, and PABPC5) known for their role in binding to the 3' poly(A) tails of eukaryotic mRNAs. The splicing regulators SART3, RBM5, and SNRNP70, as well as several members of the SR-rich family (SRSF1, SRSF7, SRSF9, and SRSF10) are also included, with SRSF7 implicated in transcript shortening.<sup>111</sup> Module 5 includes FXR1 and FXR2, RNA-processing proteins from the FXP family that regulate stress responses and have shown potential links to ALS pathogenesis.<sup>106</sup> The co-occurrence of ALS-linked RBP motifs alongside additional splicing and PA factors in this module suggests a complex interplay between these processes in ALS.

We next investigated the expression of RBPs known to be the CPA factors regulating APA<sup>133</sup> alongside the RBPs identified by APA-Net. Across cell types, we observe eight distinct expression patterns for RBPs identified by APA-Net (Figure S28B) and five expression patterns for CPA factors (Figure S30A). Additionally, we detect cell-type-specific downregulation of several CPA factors in ALS subtypes compared to a control (Figure S30B). This cell type specificity in RBP interactions and expression profiles hints at the presence of distinct *cis*- and *trans*-regulatory elements that may influence APA in ALS. Collectively, our findings begin to map cell-type-specific APA regulation in ALS and elucidate the complex molecular landscape underlying disease.

### DISCUSSION

In this study, we present the first snRNA-seq atlas of the orbitofrontal cortex in ALS, a region associated with behavioral



**Figure 7. RBP interactions in microglia reveal cell-type-specific mechanisms and dysregulation of APA in C9-ALS and sALS**

Clustered RBP motif co-occurrence profile for microglia. The heatmap is computed using Pearson correlation of co-occurrence of RBP-aligned filters in microglia APA events. Five RBP modules are defined based on hierarchical clustering (labeled on the y and x axes). Effect size is the Pearson correlation coefficient. Data are based on 4 individuals with C9-ALS/FTLD, 2 with C9-ALS without FTLD, 4 with sALS, and 6 controls.

impairments along the ALS-FTLD spectrum.<sup>134–140</sup> We compared the orbitofrontal cortex with published dorsolateral prefrontal and primary motor cortex datasets.<sup>44–47</sup> This analysis provides a resource of concordant cell-type-specific gene expression changes across ALS subtypes and affected brain regions. We characterized cell-type-specific APA dysregulation in ALS subtypes compared to neurologically healthy controls. To further understand this dysregulation, we developed APA-Net, an interpretable deep learning model that integrates transcript sequences and RBP expression profiles from snRNA-seq data

to predict APA changes. Our findings underscore that C9-ALS (with and without FTLD) and sALS drive distinct cellular states marked by unique signatures in gene expression and APA profiles.

Molecular dysregulation in the orbitofrontal cortex reveals consistent patterns across the ALS-FTLD spectrum. Protein homeostasis pathways, particularly chaperone-mediated folding, show enrichment across cell types in C9-ALS/FTLD, while chromatin remodeling pathways show widespread downregulation across multiple cell populations, including upper-layer excitatory

neurons, inhibitory neurons, oligodendrocytes, and astrocytes. Cell-type-specific changes in energy metabolism emerge through upregulation of electron transport chain components in neurons, glucose metabolism in microglia, and ATP metabolism in OPCs, aligning with established patterns of mitochondrial dysfunction in ALS.<sup>37,141–143</sup> Our analysis identified widespread dysregulation of ribosomal complexes across upper-layer, intermediate-layer, and inhibitory neuronal populations, most prominently in C9-ALS/FTLD, with a subset of these changes also present in sALS.

Independent datasets strongly corroborate our molecular findings, particularly in C9-ALS/FTLD upper-layer excitatory neurons, where 115 of 138 genes maintain their expression patterns, highlighting disruptions in protein homeostasis, mitochondrial function, and ribosomal processes.<sup>44,45,47</sup> Both excitatory and inhibitory neurons demonstrate consistent upregulation of ribosomal subunits, *VCP*, and *SQSTM1* across cortical regions, with inhibitory neurons specifically showing increased expression of *RGS4*, *MDH1*, and *SLC38A2*, along with similarly corroborated changes in *HSP90AA1*, *STMN2*, and *NEFL*.<sup>45</sup> Our analysis reveals increased transcription of both *STMN2* and *NEFL* across neuronal populations. The elevated *STMN2* expression, in conjunction with its premature PA and cryptic splicing, and loss of function with TDP-43 deficiency,<sup>28,60–62</sup> potentially reflects cellular compensation for compromised post-transcriptional processing. Similarly, *NEFL* upregulation occurs while its protein product, NfL, serves as a validated marker of axonal degeneration in patient biofluids,<sup>144</sup> suggesting a coordinated neuronal response to maintain axonal integrity. Further corroborating changes include C9-ALS neurons exhibiting changes in actin dynamics (*CFL1*, *RAC1*, and *YWHAH*)<sup>12</sup> and autophagy (*HSP90AA1*, *HSP90AB1*, and *DNAJB6*),<sup>45</sup> while sALS displays hallmark potassium channel dysregulation.<sup>57,58,145</sup> The consistency of these alterations across brain regions indicates that they represent fundamental disease processes rather than region-specific responses.

In glial populations, astrocytes demonstrate pronounced changes in C9-ALS/FTLD, including upregulation of cholesterol and miRNA metabolism pathways alongside reactive markers (*GFAP* and *CHI3L1*),<sup>44,45</sup> while sALS astrocytes show *TARDBP* downregulation.<sup>80</sup> Common to astrocytes across ALS subtypes is the dysregulation of protein homeostasis and actin filament organization.<sup>146</sup> In oligodendrocytes and OPCs, we observed consistent alterations across ALS subtypes. In sALS across independent datasets,<sup>45</sup> oligodendrocytes show downregulation of *PLLP*, while OPCs specifically demonstrate reduced *APOD* expression. Given the role of APOD in remyelination,<sup>73</sup> its transcript reduction in OPCs may significantly impair the regenerative capacity of myelin in sALS. This finding aligns with broader evidence of compromised myelin maintenance across ALS subtypes, as demonstrated by the downregulation of the myelination scaffold protein *SEPTIN4* across sALS and C9-ALS/FTLD datasets. In C9-ALS oligodendrocytes specifically, we found concordant upregulation of autophagy-related genes (*ATG4B* and *HSP90AB1*), suggesting that protein homeostasis disruption could exacerbate myelin maintenance deficits in this C9 cases.

The analysis of microglia reveals shared and unique cell-type-specific changes across ALS subtypes. These include increased

cytokine signaling pathways and elevated glucose metabolism, suggesting a microglial state with heightened energy demands. We find consistent patterns of depleted homeostatic markers and increased DAM stage markers (*APOE*, *TYROBP*, and *SPP1*) across both C9-ALS and sALS.<sup>44–47</sup> C9-ALS microglia specifically show impaired neuronal surveillance, marked by loss of *CX3CR1* and *P2RY12*,<sup>36,147</sup> along with increased inflammatory and stress responses. Both sALS and C9-ALS exhibit altered genes related to JAK/STAT signaling with depleted *IL6R*, *JAK1*, and *JAK2* but elevated *JAK3* and *STAT3*, supporting the therapeutic potential of JAK/STAT targeting.<sup>90,148,149</sup> These microglial signatures reveal both unique and shared features with other neurodegenerative conditions while maintaining strong concordance across existing ALS datasets.

Our APA analysis revealed both shared and subtype-specific patterns across cell types. Differential gene expression and APA changes were largely uncorrelated across all cell types and ALS subtypes. In microglia, C9-ALS showed lengthening of transcripts involved in myeloid differentiation, mitotic, and focal adhesion pathways,<sup>86,150</sup> whereas sALS displayed similar changes in pathways related to chromatin organization and DNA-templated transcription. Both subtypes exhibited lengthening in transcripts associated with nucleocytoplasmic transport,<sup>9</sup> transcription factor binding, and stress response pathways.

Neurons display subtype-specific dysregulation of both gene expression and APA in key pathways, including mitochondrial function, stress response, synaptic signaling, and chromatin remodeling. sALS excitatory neurons show distinctive APA dysregulation in postsynaptic glutamatergic neurotransmission and calcium signaling. All neuronal subtypes exhibit downregulation of ALS-relevant RBPs (*TARDBP*, *FUS*, *ATXN2*, *SETX*, and *ANXA11*) and lengthening of AS transcripts, highlighting mRNA processing as a central mechanism in neuronal dysfunction.<sup>8,148</sup>

Microglia highlight the distinct yet intersecting roles of APA and gene expression in regulatory mechanisms. In C9-ALS, both processes converge on pathways such as GTPase signaling and mRNA processing but through distinct sets of genes. Conversely, sALS microglia exhibit minimal gene expression changes beyond RBP dysregulation while showing substantial APA alterations, uncovering an unrecognized layer of transcriptional complexity in sporadic disease.<sup>97</sup> This integrated analysis of gene expression and APA reveals regulatory intricacies in ALS that traditional approaches would overlook.

To further investigate cell-type-specific APA, we developed APA-Net. While significant progress has been made in deep learning approaches for APA prediction,<sup>151–155</sup> APA-Net is uniquely trained on cell-type-resolved APA profiles derived from snRNA-seq data. Using APA-Net, we identified *cis*-regulatory elements and cell-type-specific RBP interactions directly from model predictions. This analysis revealed RBPs and their potential cooperative interactions involved in APA regulation across ALS subtypes. It is important to note that, while these RBP interaction profiles are based on pairwise correlations of filter co-occurrence, they do not imply direct physical interactions between RBPs. Instead, they reflect shared sequence-level features learned by APA-Net and may capture higher-order or indirect associations, including context-specific or adapter-mediated interactions. This approach not only enhances our

understanding of APA regulation but also uncovers the complex interplay of RBPs in disease contexts, providing a valuable framework for exploring post-transcriptional regulation in ALS and other neurodegenerative diseases. Looking ahead, incorporating additional data modalities, such as transcription factor activity and chromatin accessibility profiles, should further boost the predictive power of models like APA-Net and refine our grasp of APA regulation in disease.

Our single-nucleus transcriptomic profiling of the orbitofrontal cortex provides a granular understanding of cellular heterogeneity in ALS and FTLD, complementing existing single-cell ALS mapping efforts.<sup>44–47</sup> Through evaluation of the orbitofrontal cortex, we reveal concordant and divergent ALS-associated changes across cell types, brain regions, and disease subtypes. Moreover, APA-Net's ability to predict cell-type-specific APA events can be applied to other single-cell transcriptomic atlases. Overall, our findings illuminate the complex, cell-type-specific pathomechanisms of ALS, offering a valuable resource for advancing therapeutic research in ALS and other neurodegenerative diseases.

### Limitations of the study

Our study provides a foundational molecular characterization of ALS/FTLD, though certain limitations require mention. Control and ALS/FTLD tissues were sourced from different brain banks (Douglas-Bell Canada Brain Bank/University Health Network Neurodegenerative Brain Collection and Tanz Centre for Research in Neurodegenerative Diseases, respectively). Although all samples were flash frozen and stored at –80°C to minimize postmortem variability, premortem clinical factors such as hypoxia, nutritional status, or respiratory support may have influenced gene expression profiles. Richer clinical annotations and prospectively collected tissue will be essential in future work to disentangle these confounding effects, including cell-type-specific variability related to age in the orbitofrontal cortex.<sup>156</sup>

Although neuronal loss is a hallmark of ALS and FTLD, our study was not designed to reliably quantify changes in cell type abundance. Variability in nucleus recovery and the size of the disease cohorts, together with disease stage-specific differences in cellular composition,<sup>157,158</sup> and known snRNA-seq biases against rare or fragile populations<sup>159</sup> precluded formal analysis of compositional changes between disease conditions.

Independent validation is critical, with spatial transcriptomics and protein-level studies as prime approaches. Moreover, our pseudobulk 3' end analysis could be refined by long-read sequencing and QuantSeq REV on sorted nuclei from ALS patients, with or without FTLD, compared with controls.<sup>160</sup>

### RESOURCE AVAILABILITY

#### Lead contact

Requests for further information and resources should be directed to and will be fulfilled by the lead contact, Janice Robertson ([ian.robertson@utoronto.ca](mailto:ian.robertson@utoronto.ca)).

#### Materials availability

No new, unique reagents were generated in this study.

### Data and code availability

Raw FASTQ snRNA-seq files have been deposited in the NCBI Sequence Read Archive Database: PRJNA918304. The exact version of the analysis code used in this study is archived on Zenodo at <https://doi.org/10.5281/zenodo.16879041> (release v.1.0.0). The actively maintained codebase is available at <https://github.com/BaderLab/APA-Net>.

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### AUTHOR CONTRIBUTIONS

The project was conceived and planned by P.M.M., A.M.S., G.D.B., and J.R. Autopsies on ALS/FTLD tissue were performed by P.M.M., P.M., and S.X. Clinical care and diagnosis of ALS/FTLD patients were provided by L.Z. Neuropathological diagnosis was performed by J.K., and screening for phospho-TDP-43 was conducted by P.M.M. Genetic analysis was conducted by C.S., D.M., and E.R. Autopsies on two control tissues were performed by N.V. and G.G.K. Single-nucleus preparations and 10× library creation were performed by Z.X. under the supervision of T.K. All analyses were conducted by P.M.M., A.M.S., and R.S. in consultation with H.G. and G.D.B. P.M.M. and A.M.S. generated figures and wrote the manuscript. G.D.B. and J.R. provided primary comments and edits.

### DECLARATION OF INTERESTS

The authors declare no competing interests.

### STAR METHODS

Detailed methods are provided in the online version of this paper and include the following:

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### SUPPLEMENTAL INFORMATION

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## STAR★METHODS

### KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Antibodies</b>		
Anti-phospho TDP-43 (Ser409/Ser410) Antibody, clone 1D3	CiteAb	Cat# MABN14; RRID: AB_11212279
<b>Chemicals, peptides, and recombinant proteins</b>		
PBS, pH 7.4 1X	Thermo Fisher Scientific	Cat# 10010023
SYBR™ Green II RNA Gel Stain, 10,000X concentrate in DMSO	Thermo Fisher Scientific	Cat# S7564
MACS BSA Stock Solution	MACS Miltenyi Biotec	Cat# 130-091-376
Sucrose (>99.5%)	Sigma-Aldrich	Cat# S0389
Calcium chloride solution ~ 1.00M	Sigma-Aldrich	Cat# 21115
Magnesium acetate solution	Sigma-Aldrich	Cat# 63052
Invitrogen™ UltraPure™ 1 M Tris-HCl Buffer, pH 7.5	Fisher Scientific	Cat# 15567027
Triton X-100	Sigma-Aldrich	Cat# X-100
0.5M EDTA pH 8.0	Sigma-Aldrich	Cat# 324506
UltraPure™ DNase/RNase-Free Distilled Water	Fisher Scientific	Cat# 10977015
FlowMi® Cell Strainers, 40 µm, for 1000 µL Pipette Tips	Sigma-Aldrich	Cat# BAH136800040
DAPI	Sigma-Aldrich	Cat# 10236276001
Protector RNase inhibitor	Sigma-Aldrich	Cat# 3335402001
C-Chip™ Disposable Hemacytometers	Fisher Scientific	Cat# 22-600-100
Fisherbrand Razor Blades	Fisher Scientific	Cat# 12-640
KIMBLE Dounce tissue grinder set	Sigma-Aldrich	Cat# D9063
<b>Critical commercial assays</b>		
10X Chromium Single Cell 3' v2	10X Genomics	Cat# PN-120267
10X Chromium Single Cell 3' v3	10X Genomics	Cat# PN-1000268
Illumina NovaSeq 6000 SP flow cell	Illumina	Cat# 20028402
Illumina NovaSeq 6000 S2 flow cell	Illumina	Cat# 20028314
<b>Deposited data</b>		
snRNA-Seq data from human orbitofrontal cortex	Current study	Sequencing Read Archive Database (SRA): <a href="https://www.ncbi.nlm.nih.gov/bioproject/PRJNA918304">https://www.ncbi.nlm.nih.gov/bioproject/PRJNA918304</a>
Processed human motor (M1) cortex data	Siletti et al. <sup>161</sup>	<a href="https://portal.brain-map.org/atlas-and-data/rnaseq/human-m1-10x">https://portal.brain-map.org/atlas-and-data/rnaseq/human-m1-10x</a>
Seattle Alzheimer Disease Cell Atlas	Gabbitto et al. <sup>162</sup>	<a href="https://portal.brain-map.org/atlas-and-data/rnaseq/human-mtg-10x_sea-ad">https://portal.brain-map.org/atlas-and-data/rnaseq/human-mtg-10x_sea-ad</a>
Raw and processed snRNA-Seq data from prefrontal cortex	Gittings et al. <sup>46</sup>	Synapse: <a href="https://www.synapse.org/Synapse:syn45351388/files/">https://www.synapse.org/Synapse:syn45351388/files/</a>
Raw and processed snRNA-Seq data from prefrontal and motor cortex	Li et al. <sup>44</sup>	Gene Expression Omnibus: <a href="https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE219281">https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE219281</a>
Raw and processed snRNA-Seq data from human prefrontal and motor cortex	Pineda et al. <sup>45</sup>	SRA & Synapse: <a href="https://www.ncbi.nlm.nih.gov/bioproject/PRJNA1073234/">https://www.ncbi.nlm.nih.gov/bioproject/PRJNA1073234/</a> , <a href="https://www.synapse.org/Synapse:syn51105515/files/">https://www.synapse.org/Synapse:syn51105515/files/</a>

(Continued on next page)

**Continued**

REAGENT or RESOURCE	SOURCE	IDENTIFIER
<b>Software and algorithms</b>		
Cell Ranger v5.0.1	10X Genomics	<a href="https://www.10xgenomics.com/support/software/cell-ranger/downloads/previous-versions">https://www.10xgenomics.com/support/software/cell-ranger/downloads/previous-versions</a>
Seurat (v4)	Satija lab <sup>163–167</sup>	<a href="https://satijalab.org/seurat/articles/install_v5#install-seurat-v4">https://satijalab.org/seurat/articles/install_v5#install-seurat-v4</a>
scran	Lun et al. <sup>168</sup>	<a href="https://bioconductor.org/packages/devel/bioc/html/scran.html">https://bioconductor.org/packages/devel/bioc/html/scran.html</a>
scDblFinder	Germain et al. <sup>169</sup>	<a href="https://github.com/plger/scDblFinder">https://github.com/plger/scDblFinder</a>
Harmony	Korsunsky et al. <sup>49</sup>	<a href="https://github.com/immunogenomics/harmony">https://github.com/immunogenomics/harmony</a>
Leiden	Traag et al. <sup>50</sup>	<a href="https://arxiv.org/abs/1810.08473">https://arxiv.org/abs/1810.08473</a>
ScCustomize (v2.1.2)	Marsh et al. <sup>170</sup>	<a href="https://samuel-marsh.github.io/scCustomize/">https://samuel-marsh.github.io/scCustomize/</a>
DittoSeq (v1.2.0)	Bunis et al. <sup>171</sup>	<a href="https://www.bioconductor.org/packages/release/bioc/html/dittoSeq.html">https://www.bioconductor.org/packages/release/bioc/html/dittoSeq.html</a>
NSForest (v3.9.1)	Aevermann et al. <sup>51</sup>	<a href="https://github.com/JCVerterInstitute/NSForest">https://github.com/JCVerterInstitute/NSForest</a>
DESeq2	Love et al. <sup>113</sup>	<a href="https://github.com/thelovelab/DESeq2">https://github.com/thelovelab/DESeq2</a>
limma	Ritchie et al. <sup>172</sup>	<a href="https://bioconductor.org/packages/release/bioc/html/limma.html">https://bioconductor.org/packages/release/bioc/html/limma.html</a>
MAST	Finak et al. <sup>53</sup>	<a href="https://github.com/RGLab/MAST">https://github.com/RGLab/MAST</a>
UCell (v2.4)	Andreatta et al. <sup>173</sup>	<a href="https://carmonalab.github.io/UCell_demo/UCell_Seurat_vignette.html">https://carmonalab.github.io/UCell_demo/UCell_Seurat_vignette.html</a>
MAAPER	Li et al. <sup>43</sup>	<a href="https://github.com/Vivianstats/MAAPER">https://github.com/Vivianstats/MAAPER</a>
PolyA DB (v3)	Wang et al. <sup>96</sup>	<a href="https://exon.apps.wistar.org/polya_db/v3/">https://exon.apps.wistar.org/polya_db/v3/</a>
APALog	Navickas et al. <sup>116</sup>	<a href="https://github.com/goodarzilab/APALog">https://github.com/goodarzilab/APALog</a>
gProfiler2	Kolberg et al. <sup>54</sup>	<a href="https://biit.cs.ut.ee/gprofiler/gost">https://biit.cs.ut.ee/gprofiler/gost</a>
Gene Ontology gene sets (2024 release)	Bader lab Lab <sup>174</sup>	<a href="http://baderlab.org/GeneSets/">http://baderlab.org/GeneSets/</a>
Cytoscape (v3.9.1) and EnrichmentMap Plugin (v3.4.0)	Reimand et al. <sup>174</sup>	<a href="https://apps.cytoscape.org/apps/enrichmentmap">https://apps.cytoscape.org/apps/enrichmentmap</a>
APA-Net (v1.0.0)	Current study	Zenodo: <a href="https://doi.org/10.5281/zenodo.16879041">https://doi.org/10.5281/zenodo.16879041</a> ; GitHub: <a href="https://github.com/BaderLab/APA-Net">https://github.com/BaderLab/APA-Net</a>
RBP compendium	Ray et al. <sup>103</sup>	<a href="https://hugheslab.ccbr.utoronto.ca/supplementary-data/RNAcompete_eukarya/">https://hugheslab.ccbr.utoronto.ca/supplementary-data/RNAcompete_eukarya/</a>
cutadapt	Martin <sup>175</sup>	<a href="https://ojswupgrade.com/index.php/embnetjournal/article/view/200">https://ojswupgrade.com/index.php/embnetjournal/article/view/200</a>
BWA (v0.7.17)	Guo and Ho <sup>176</sup>	<a href="https://github.com/lh3/bwa">https://github.com/lh3/bwa</a>
CLIP Tool Kit (CTK v1.1.13)	Shah et al. <sup>177</sup>	<a href="https://github.com/chaolinzhanglab/ctk">https://github.com/chaolinzhanglab/ctk</a>
FIRE	Elemento et al. <sup>178</sup>	<a href="https://github.com/hanig/FIRE">https://github.com/hanig/FIRE</a> and <a href="https://tavazoielab.c2b2.columbia.edu/FIRE/">https://tavazoielab.c2b2.columbia.edu/FIRE/</a>

**METHOD DETAILS**

**Human brain samples and QC**

Informed consent was obtained from all participants in accordance with the Ethics Review Boards at Sunnybrook Health Sciences Center and University of Toronto. ALS clinical diagnosis was determined based on the El Escorial revisited clinical criteria.<sup>179</sup> Fresh frozen orbitofrontal cortex tissues were collected from ALS cases with pathologically confirmed FTLD or no FTLD, and six non-neurological disease control cases. Demographic information for individual- and group-level (Tables S1 and S2). C9-genotypes were determined as described previously.<sup>180,181</sup> Postmortem patient samples comprised C9-ALS/FTLD ( $n = 6$ ), C9-ALS with no FTLD ( $n = 3$ ), and sALS with no FTLD ( $n = 8$ ). Postmortem non-neurological control samples ( $n = 6$ ) were obtained from the Douglas-Bell Canada Brain Bank (DBCBB, Montreal, Canada) ( $n = 4$ ) or University Health Network–Neurodegenerative Brain Collection (UHN–NBC,

Toronto, Canada) ( $n = 2$ ). To ensure consistency across tissue sources, all samples were collected with postmortem intervals < 24 h, dissected by experts in neuroanatomy to preserve laminar structure (layers I–VI and white matter), flash-frozen in liquid nitrogen immediately after extraction, and stored at  $-80^{\circ}\text{C}$  until processing. These standardized protocols minimized variability in RNA quality despite procurement from different brain banks.

#### Single nucleus RNA-seq by fluorescent activated cell sorting (FACS)

Frozen orbitofrontal cortex (approximately 50 mg per sample) was dounce homogenized on ice in lysis buffer (0.32 mM sucrose, 5 mM CaCl<sub>2</sub>, 3 mM Mg(Ac)<sub>2</sub>, 20 mM Tris-HCl [pH 7.5], 0.1% Triton X-100, 0.5 M EDTA [pH 8.0], 40U/mL RNase inhibitor in H<sub>2</sub>O), centrifuged at 800  $\times g$  for 10 min at  $4^{\circ}\text{C}$ . The supernatant was removed and the pellet was washed twice and resuspended in a re-suspension buffer (1x PBS, 1% BSA, 0.2U/ $\mu\text{L}$  RNase inhibitor). Resuspended nuclei were sorted by FACS with DAPI (Roche) labeling, removing any debris and nuclei aggregates within DAPI-positive gating, aiming to capture approximately 6000 nuclei per sample. Library preparation was performed using either the 10X Chromium Single Cell 3' v2 or v3 platform following the manufacturer protocols. The QC of cDNA libraries was conducted on a 2100 Bioanalyzer (Agilent). The cDNA libraries were 100-bp paired end sequenced on either an Illumina NovaSeq 6000 SP or NovaSeq6000 S2 standard flow cell at the Princess Margaret Genomic Center (Toronto, Ontario). Raw Illumina base call files from each sample were demultiplexed to produce FASTQ files with the cellranger mkfastq pipeline (10X Genomics). Reads were aligned to the pre-mRNA GRCh38-2020-A genome and quantified using cellranger count command on Cell Ranger v5.0.0 (10X Genomics).

#### snRNA-seq sample processing, QC, and clustering

All processing and QC of snRNA-seq samples was performed using Seurat (v4),<sup>163–167</sup> and custom R and Python scripts. Seurat objects were created for each sample using the filtered feature-barcode matrices obtained from Cell Ranger (v5.0.0). For each sample, nuclei containing mitochondrial reads with a threshold greater than three mean absolute deviations from the median number of mitochondrial reads with a maximum cut-off of 5% were removed. Next, nuclei with fewer than 200 and greater than 12000 detected genes were removed. Reads pertaining to the cell cycle were scored using the scran R package.<sup>168</sup> Potential doublets were estimated and removed using scDblFinder with default parameters.<sup>169</sup> The remaining singlet transcriptomes were merged and batch corrected with Harmony<sup>49</sup> on log1p normalized counts. Dimensionality reduction was performed using PCA on 50 principal components and then visualized using uniform manifold projection and approximation (UMAP).<sup>182</sup> Clustering was performed using the Leiden algorithm<sup>50</sup> at a resolution of 0.6. Clusters with fewer than 200 cells were filtered out as background<sup>183</sup> based on poor representation across samples and disease subtypes. Visualization was performed using the scCustomize<sup>170</sup> or dittoSeq<sup>171</sup> R packages.

#### Cluster annotation by machine learning and reference atlas

To uncover orbitofrontal cell types from the identified clusters, we employed marker discovery by machine learning (NSForest v3.9.1)<sup>51</sup> and reference-based annotation<sup>52</sup> using the Allen Brain Atlas <https://portal.brain-map.org/atlas-and-data/rnaseq>.<sup>161</sup> Default parameters in NSForest were applied to identify binary markers for each cluster. The machine learning-based marker classification approach identified binary markers for each cluster using random forest feature selection and expression scoring. These binary markers for clusters were then used to confirm cortical cell type identity using reference-based annotation. Markers from each dataset were then compared with ref. 10X Genomics experiments from two cortical regions in humans, including the primary motor cortex, dorsolateral prefrontal cortex, and medial temporal gyrus from the Seattle Alzheimer Disease Cell Atlas.<sup>161,162</sup> Final confirmation of cell type identity was confirmed for each cell subtype by manual annotation<sup>52</sup> using canonical cortical cell markers.

#### PCA and sample-level distance analysis

To assess transcriptional variation between diagnostic groups at the sample level, we computed pseudobulk gene expression matrices for each cell type by aggregating raw counts across cells from the same sample. After variance-stabilizing transformation using DESeq2,<sup>113</sup> expression matrices were adjusted to regress out sample identity as a batch variable using *removeBatchEffect* from the limma package.<sup>172</sup> PCA was performed on the top 10 components of the residualized expression matrix.

Pairwise Euclidean distances between samples in PCA space were calculated for each cell type, and median within-group (control vs. control, disease vs. disease) and between-group (control vs. disease) distances were summarized. To estimate confidence intervals, we performed non-parametric bootstrapping with 1,000 resamples. To evaluate whether observed between-group separation exceeded chance expectations, we conducted a permutation test by shuffling diagnosis labels 1,000 times and recalculating the median difference within- and between-groups. One-sided empirical *p*-values were calculated as the proportion of permutations where the difference in median distances (between-group minus within-group) was greater than or equal to the observed value, with a +1 continuity correction applied.

#### Differential gene expression analysis

To minimize technical variability and ensure robust downstream comparisons, differential expression and APA analyses were restricted to samples processed with 10X v3 chemistry. The subset of samples selected for analysis is described below. A subset of samples were processed using the 10X v2 chemistry for C9-ALS/FTLD ( $n = 3$ ), C9-ALS no FTLD ( $n = 1$ ), and sALS no FTLD ( $n = 4$ ). These v2 samples were used exclusively for cell type annotation due to their lower gene detection rates, while all downstream

analyses (differential expression, APA) were restricted to v3 samples to avoid chemistry-specific biases. For these analyses, the C9-ALS/FTLD ( $n = 4$ ) and C9-ALS without FTLD ( $n = 2$ ) were combined to create the C9-ALS cohort ( $n = 6$ ) to improve statistical power, as both share C9orf72 mutations causing ALS. The sALS without FTLD ( $n = 4$ ) samples constituted the sALS cohort. These disease subtypes (C9-ALS and sALS) were then compared to the non-neurological disease control group ( $n = 6$ ). We also compared C9-ALS/FTLD ( $n = 4$ ) cases with all ALS no FTLD ( $n = 4$  sALS no FTLD,  $n = 2$  C9-ALS no FTLD) in addition to all ALS no FTLD ( $n = 6$ ) with controls ( $n = 6$ ). These findings are available in [Table S3](#).

Differentially expressed genes across ALS subtypes relative to control in each cell type were uncovered using the MAST approach.<sup>53</sup> A mixed-effect hurdle model was employed with the counts data, analyzing log2-normalized counts as described.<sup>53</sup> The model was designed to include both fixed effects and the individual sample as a random effect as follows:

$$y \sim D + G + U + S + A + (1|I)$$

where fixed effects are denoted as  $y$  for the log2-normalized count of the gene,  $D$  for the diagnosis (C9-ALS/FTLD vs. control, C9-ALS vs. control, and sALS vs. control),  $G$  is the number of genes detected,  $U$  is the unique molecular index (UMI) distribution,  $S$  is the sex of the donor,  $A$  is the age of the donor, and  $(1|I)$  is the random effect for donor ID, accounting for donor-specific variability.

The hurdle component modeled the probability of zero versus non-zero counts using logistic regression, while the count component modeled non-zero counts using a Poisson distribution. This approach enabled us to address the excess zeros in our data and assess the influence of each predictor on the gene expression counts.

Next, we performed a likelihood ratio test to identify differentially expressed genes by comparing models with and without the diagnosis term, as described.<sup>44</sup> Briefly, hurdle  $p$ -values were reported using the MAST method, and  $p$ -values were adjusted for multiple comparisons using the Benjamini and Hochberg FDR method. The MAST model provided LFC due to the disease effect, derived from both the continuous component (nonzero expression) and the discrete component (expressed or not) of the hurdle model. Additionally, we computed average LFC by subtracting the mean log2 counts per million of control nuclei from that of disease nuclei, ensuring consistency between model LFC and average fold change (FC), and excluding genes with significant discrepancies. We considered significance set at  $FDR < 0.01$  and model  $|LFC| \geq 0.5$ . Further analysis of transcriptional signatures within cell types and across disease subtypes was performed using the R package UCell version 2.4.<sup>173</sup> UCell uses the Mann-Whitney U statistic to assign and rank signature scores from a set of pre-determined genes based on their relative expression in cells.

### Comparison with existing ALS datasets

We retrieved pre-processed snRNA-seq data and relevant metadata from three independent ALS/FTLD studies, including Pineda et al.<sup>45</sup> from <https://www.synapse.org/Synapse:syn51105515/files/> and <https://www.ncbi.nlm.nih.gov/bioproject/PRJNA1073234/>; Li et al.<sup>44</sup> from <https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE219281>, and Gittings et al.<sup>46</sup> from <https://www.synapse.org/Synapse:syn45351388/files/>. To allow for the comparative analysis and visualization of gene expression changes, we created Seurat objects for each dataset as described above using the meta-data provided by each study. For differentially expressed genes, we retrieved differential expression data from the published supplementary tables where available.<sup>44,45,47</sup> For each cell type, we considered significance at either an adjusted  $p$ -value or  $FDR < 0.01$  and  $|LFC| \geq 0.5$ . Gene intersections were visualized using Venn diagrams.

We assessed whether gene intersections between each pair of differential gene sets was greater than expected by chance using hypergeometric tests. For a pair of differentially expressed gene sets ( $A$  and  $B$ ) out of the total universe of  $N$  genes tested (all unique, expressed genes included across differential expression analyses), the background size was set to  $N$ , with  $|A| = K$ ,  $|B| = n$ , and  $|A \cap B| = k$ . We computed one-tailed hypergeometric  $p$ -values ( $p(X \geq k)$ ) to evaluate the enrichment of shared genes beyond random expectation. A  $p < 0.05$  was considered significant. To evaluate whether the triple intersection was significantly larger than predicted by each set's size and their pairwise overlaps, we fit a log-linear model (chi-squared framework) to a  $2 \times 2 \times 2$  contingency table reflecting membership or non-membership in each dataset. We compared the model containing main effects and pairwise interactions against a model that additionally included the three-way interaction term. A significant likelihood-ratio test ( $p < 0.05$ ) indicated that the triple intersection deviated from random chance given the marginal and pairwise distributions.

### APA quantification and profiling

To address the inherent sparsity of single-nucleus 3'-end data, we aggregated barcodes from each cell type into pseudo-bulk alignment files, thereby boosting coverage at PA sites and enhancing statistical power for detecting APA events. Although pseudo-bulk approaches can obscure heterogeneity in APA usage across individual cells, they remain a well-established strategy for capturing robust 3'-end usage patterns in single cell RNA-Seq data.<sup>39,40,184,185</sup> This is particularly appropriate in our case, as cell types were already defined through transcriptomic analysis.

We employed the MAAPER software<sup>43</sup> to assign sequencing reads to known PA, as defined in the PolyA DB v3 database.<sup>96</sup> PA sites were considered only if there were at least 25 reads aligning to the sites. To identify genes with significant changes in the length of their 3'-most exon, we used the REDu metric provided by MAAPER. REDu measures the relative expression levels between the two most differentially expressed isoforms in the 3'-most exon. A positive REDu value indicates transcript lengthening events, while a negative value points to shortening events. For pinpointing genes exhibiting intronic APA usage, we used the REDi metric. REDi

compares the relative expression levels of the top differentially expressed isoform in the 3'-most exon and the top differentially expressed isoform in an intron or internal exon. The RED score, comparing conditions 1 and 2, is computed using the formula:

$$RED = \log_2 \left( \frac{\alpha_{2d}}{\alpha_{2p}} \right) - \log_2 \left( \frac{\alpha_{1d}}{\alpha_{1p}} \right)$$

where the proportions of the distal and proximal PAs in conditions 1 and 2 are denoted as  $\alpha_{2d}$ ,  $\alpha_{2p}$ ,  $\alpha_{1d}$ , and  $\alpha_{1p}$  respectively.<sup>43,96</sup>

More than 80% of the genes in PolyA DB v3 database<sup>96</sup> have 3 or more annotated PAs. Hence, to investigate differential poly(A) site usage patterns in more detail, we used the APAlog package. APAlog operates on the normalized counts of reads mapped to each PA site to assess the extent and nature of differential usage. For a comprehensive comparison, APAlog was run in Pairwise Test mode, which enables the comparison of all possible pairs of PA sites per transcript.<sup>116</sup> Similarly, the APA LFC values were calculated to identify distal and proximal PA site usage:

$$APA_{LFC} = \log_2 \left( \frac{\beta_{2d}}{\beta_{2p}} \right) - \log_2 \left( \frac{\beta_{1d}}{\beta_{1p}} \right)$$

where the proportions of the distal and proximal PAs in conditions 1 and 2 are denoted as  $\beta_{2d}$ ,  $\beta_{2p}$ ,  $\beta_{1d}$ , and  $\beta_{1p}$  respectively.

### Empirical FDR analysis for APA

We conducted an empirical FDR analysis using the controls from individuals without neurological diseases to mitigate false positives. This enabled us to establish cell type-specific FDR thresholds where only 10% of the *p*-values fell below each threshold (Table S8). For downstream analysis, we included only transcripts that passed this FDR analysis, ensuring a reliable FDR of 10% or less in our results.

APA analysis was performed on all possible pairs of control vs. control samples, and transcript-level APA *p*-values were examined. The threshold was selected based on the 10% quantile. For further analysis, we retained only significant APA events after applying the Benjamini-Hochberg FDR correction to the RED values from MAAPER. Similarly, APAlog was used to identify transcripts with significant APA, and the Benjamini-Yekutieli method was applied to correct the *p*-values of APA LFC values due to the mutual non-independence of *p*-values from testing pairs of PA sites in transcripts with more than two sites.

### Pathway enrichment analysis

Pathway enrichment analyses for snRNA-seq data, including from differentially expressed genes and APA, were performed using gProfiler2<sup>54</sup> with curated Gene ontology (GO) biological process and cellular compartment gene sets with no inferred electronic annotation were downloaded from <http://baderlab.org/GeneSets/> (April 2024 release). We used a minimum gene set size of 15 and the maximum gene set size of 200. Visualization of GO results focused on minimizing GO term redundancy by plotting enrichment maps created with the Enrichment Map plugin for Cytoscape (v3.9.1) in either Linux or Windows.<sup>174</sup> A *q*-value cutoff < 0.1 and edge cutoff (similarity) of 0.375 was used for plotting either the differentially expressed genes or APA enrichment map results.

### Deep learning model architecture

APA-Net architecture is designed to map the complex regulatory mechanisms underlying APA from 3' single-cell transcriptomics data. Hybrid models that combine convolutional neural networks (CNNs) with architectures traditionally developed for natural language processing have emerged as powerful tools in genomic sequence analysis.<sup>101,186</sup> APA-Net employs a CNN architecture supplemented with a multi-head attention module, specifically optimized to identify *cis*-regulatory elements impacting PA site selection across varied cell types. The input region for the CNN and MAT modules encompasses 2 kb surrounding both the proximal and distal polyadenylation site (PAS). The CNN module contains a single convolutional layer, comprising 128 kernels, each with a size of 12 and a stride of 1. This is followed by a max pooling layer with a kernel size of 16 and stride of 16. The output from this stage feeds into a multi-head attention module, in which each position in the representation map functions as a distinct token. In this context, a 'token' refers to a discrete unit of information, which is essential for the attention mechanism to effectively process and interpret the complex patterns within the data. A residual connection links the CNN and multi-head attention modules. This residual connection, a key component in deep learning architectures, helps in mitigating the vanishing gradient problem by allowing the flow of information and gradients directly across layers. The attention module's output, along with the RBP expression profile specific to the cell type, is forwarded to a multi-layer perceptron for final APA effect prediction through a regression task.

The objective of APA-Net therefore is to predict the APA LFC values and the loss for regression can be represented as:

$$L_{\hat{x}} = \frac{1}{n} \sum_{i=1}^n (\hat{x}_i - x_i)^2$$

To train APA-Net, we utilized the pairwise APA events identified by APAlog across different cell types. For each APA event, we extracted genomic sequences from the hg38 reference genome surrounding both the proximal and distal PAS, transcribed them to RNA sequences, and oriented them in the sense direction to preserve the proximal/distal usage information. These sequences served as input features, along with the cell type specific RBP expression profiles. The corresponding APA log fold change (LFC)

values were used as regression labels. We employed 5-fold cross-validation, where for each fold, the data was split into 80% training and 20% testing sets while maintaining the representation of APA events across different cell types. This cross-validation approach ensured robust evaluation of the model's performance across different subsets of the data.

### Deep learning model interpretation

To identify potential *cis*-regulatory elements, we used the filter weights from the CNN module of APA-Net, which represent learned sequence motifs. Each sequence within the test dataset was scanned using the model's filters to identify regions with maximal filter activation, hypothesized to correspond to biologically relevant RNA sequence motifs. These motifs were modeled as Position-Weight Matrices (PWMs) to capture the patterns each filter had learned for APA sequences in ALS.

Next, we aligned RBPs from the compendium of RNA-binding motifs<sup>103</sup> with the motifs identified by APA-Net. This alignment enabled us to associate the learned motifs with known RBPs. To identify differentially expressed RBPs, we employed DESeq2.<sup>113</sup> This pseudo-bulk approach enabled the preservation of biological relevance and expected effect sizes of altered RBPs while mitigating cell type variability.<sup>187–189</sup> We used the *FindMarkers* function from Seurat with the list of identified RBPs to perform the analysis. We then applied stringent statistical cutoffs of adjusted  $p < 0.01$  and used a  $|LFC| < 0.25$  to capture a large net of dysregulated RBPs.

### HNRNPC eCLIP-seq processing and enrichment analysis

For eCLIP-seq data processing, reads were initially processed using UMI-tools to extract unique molecular identifiers, followed by quality filtering ( $Q > 15$ ) and adapter removal using cutadapt.<sup>175</sup> The processed reads were aligned to the human genome (hg38) using BWA (v0.7.17). PCR duplicates were removed and peaks were called using the CLIP Tool Kit (CTK v1.1.13)<sup>177</sup> implementing a valley-seeking algorithm with multiple testing correction. Peak boundaries were determined by combining replicates. We used FIRE<sup>190</sup> for binding site enrichment analysis.

### RBP interaction dissimilarity across cell types

We used the Frobenius norm, a measure of the difference between two matrices (similar to Euclidean distance for vectors), defined as the square root of the sum of the absolute squares of their element-wise differences, to measure the dissimilarity of the RBPs interaction profiles across cell types. The Frobenius distance between two matrices  $X$  and  $Y$  is given by:

$$d(X, Y) = \|X - Y\|_F = \sqrt{\text{tr}((X - Y)^\top (X - Y))}$$

where.

- (1)  $\text{tr}(A)$  denotes the trace of matrix  $A$ , which is the sum of its diagonal elements.
- (2)  $A^\top$  denotes the transpose of matrix  $A$ .
- (3)  $\|\cdot\|_F$  denotes the Frobenius norm.